

# **First Physics Results from the Sudbury Neutrino Observatory**

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Astrophysics**

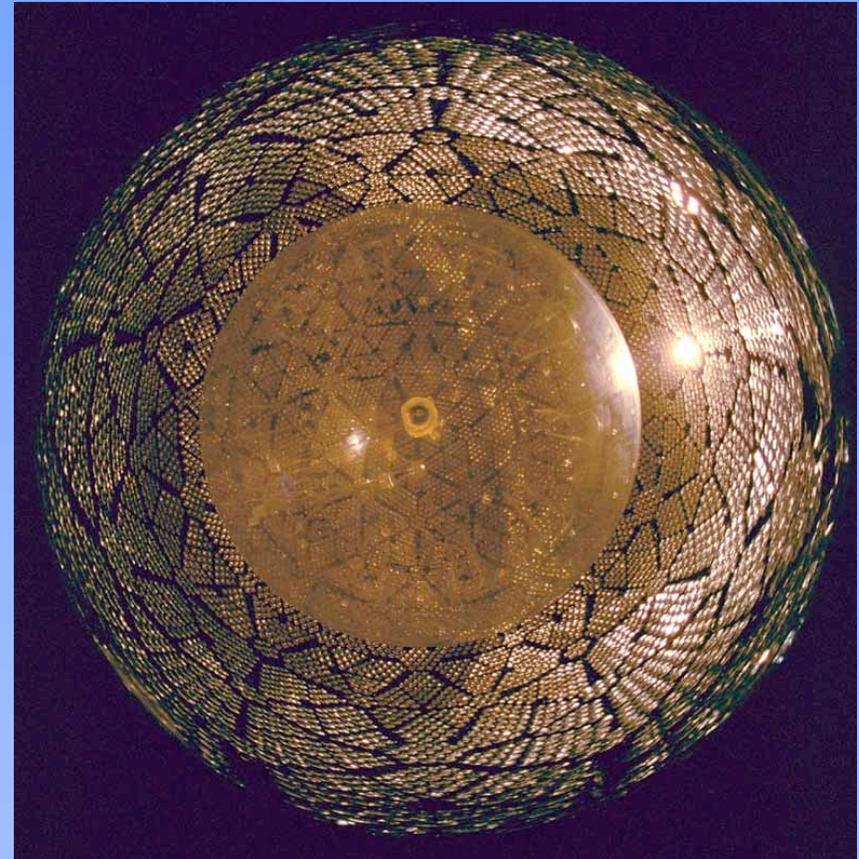
***for the SNO Collaboration***

**June 18, 2001**

# Sudbury Neutrino Observatory



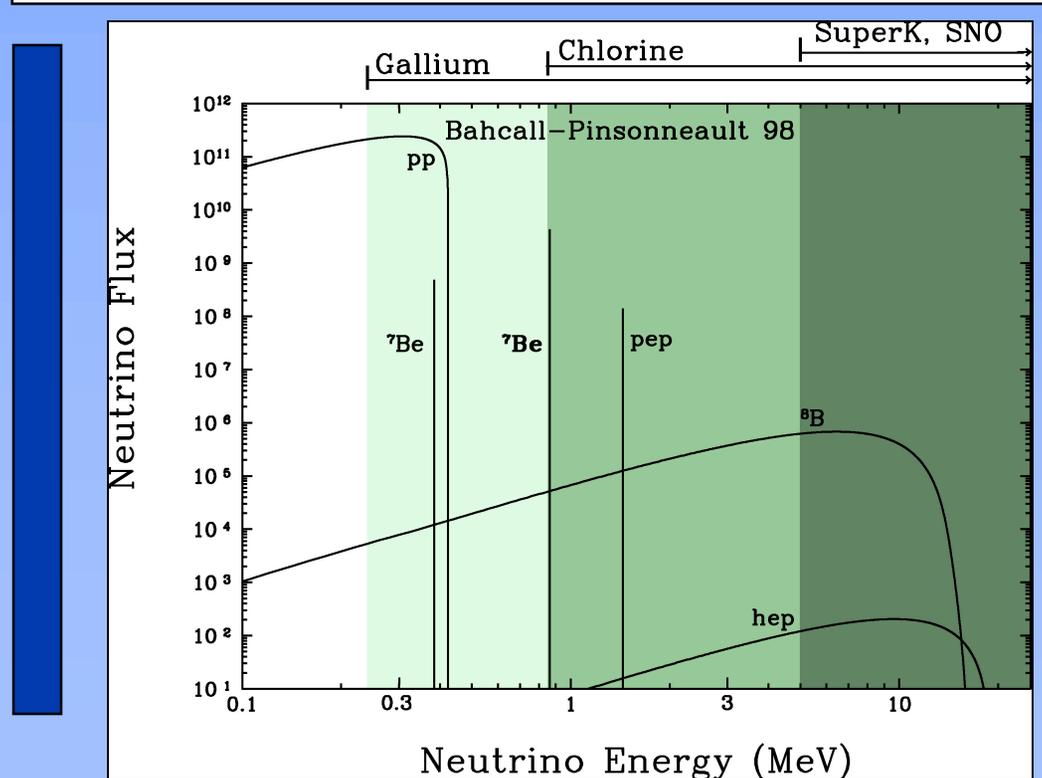
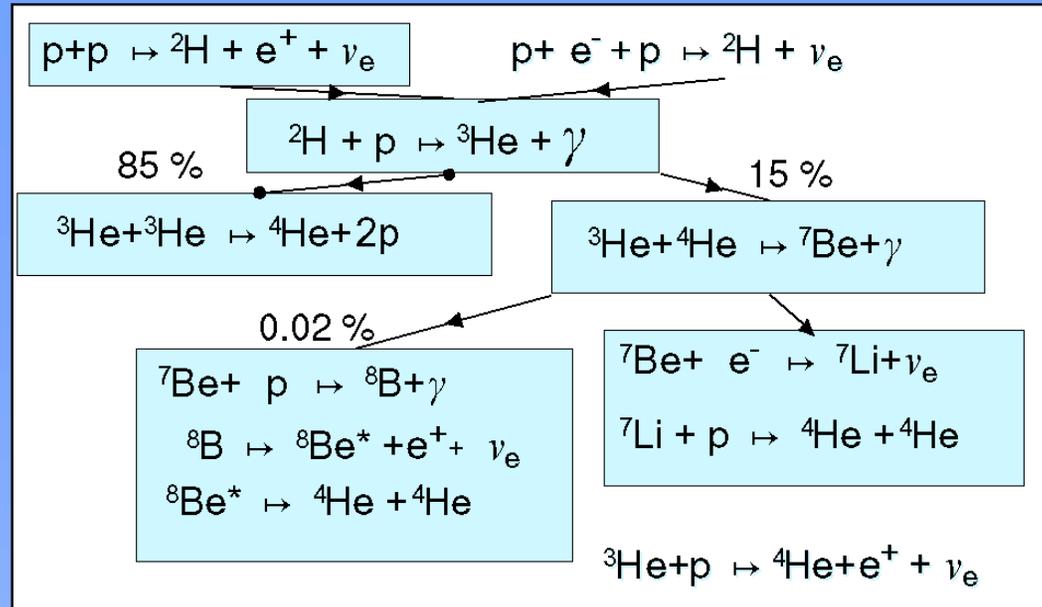
- Introduction & Summary
- Detector Response & Data Analysis
- Results & Implications
- Outlook for SNO & other Experiments



# The Solar Neutrino Problem



- “...to see into the interior of a star and thus verify directly the hypothesis of nuclear energy generation in stars.”
- Phys. Rev. Lett. 12, 300 (1964);  
Phys. Rev. Lett. 12, 303 (1964)  
*Bahcall and Davis*

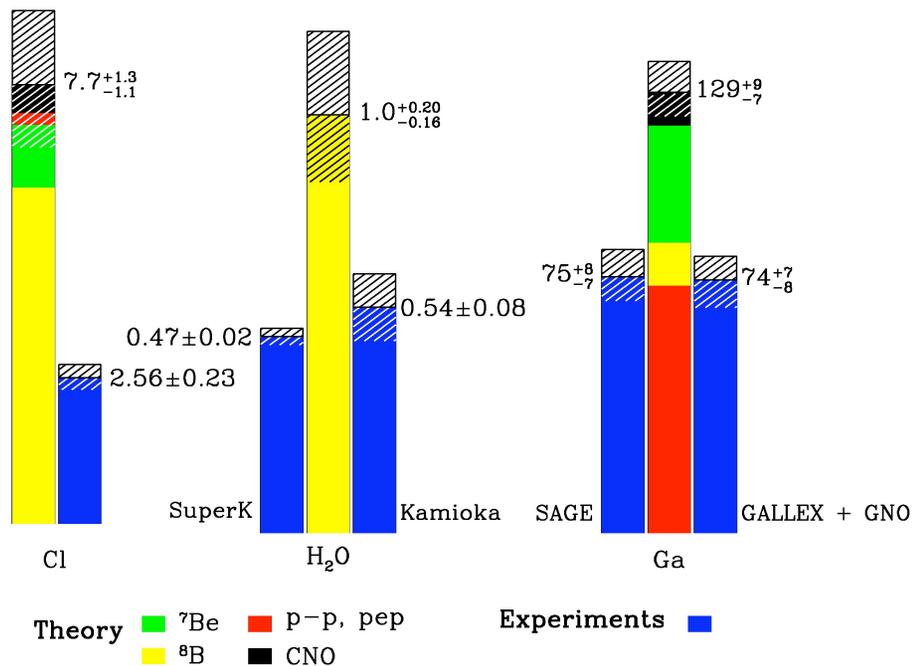


# The Solar Neutrino Problem



- Subsequent 35 years have seen five experiments all measure a deficiency of solar neutrinos

Total Rates: Standard Model vs. Experiment  
Bahcall-Pinsonneault 2000



Either  
Solar Models are  
Incomplete or  
Incorrect

Or

Neutrinos Undergo  
Flavor Changing  
Oscillations

# The Sudbury Neutrino Observatory



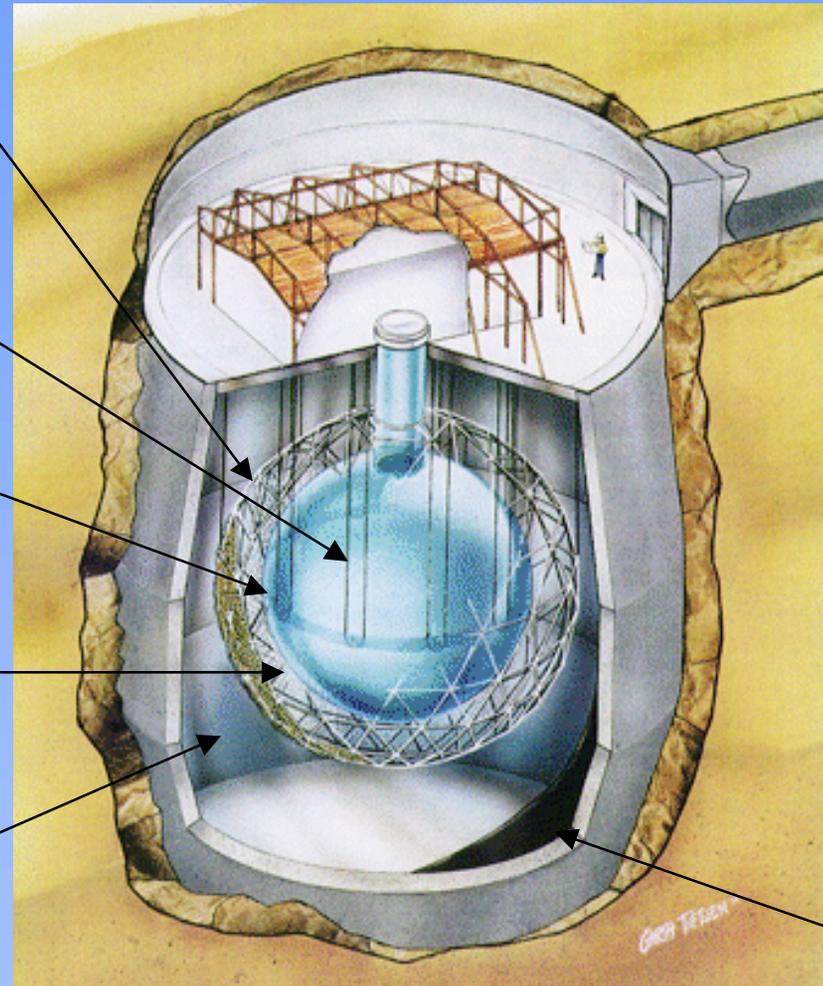
17.8 m Diameter  
Support Structure  
for 9456 PMTs,  
56% coverage

1006 Metric Tons  
D<sub>2</sub>O

12.01 m Diameter  
Acrylic Vessel

1700 Metric Tons  
Inner  
Shielding H<sub>2</sub>O

5300 Tons Outer  
Shield H<sub>2</sub>O



Clean Room  
Construction

Ultra-low Activity  
Components

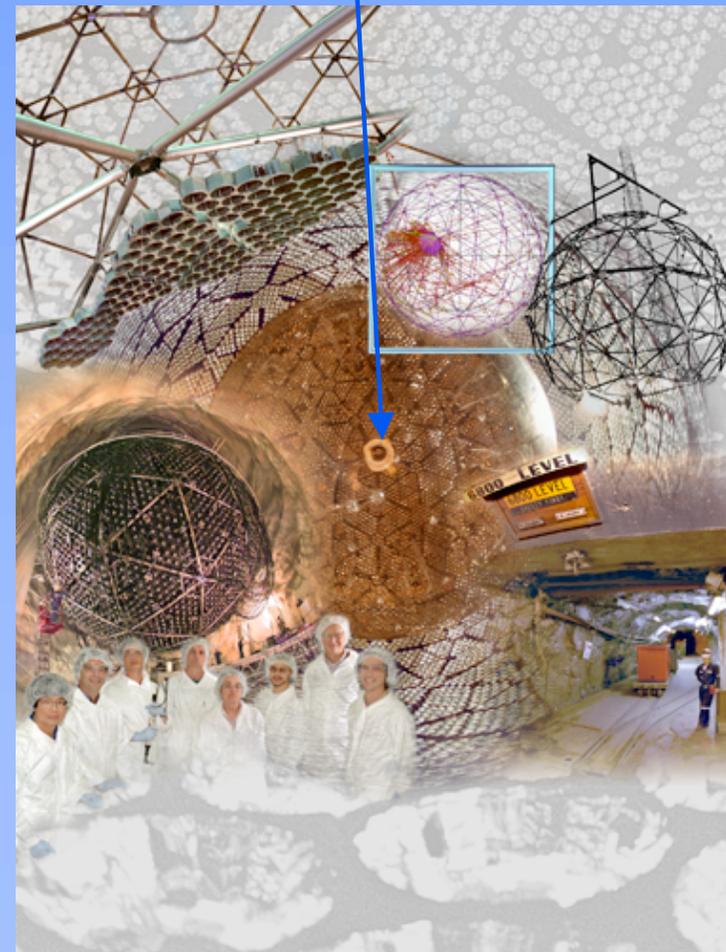
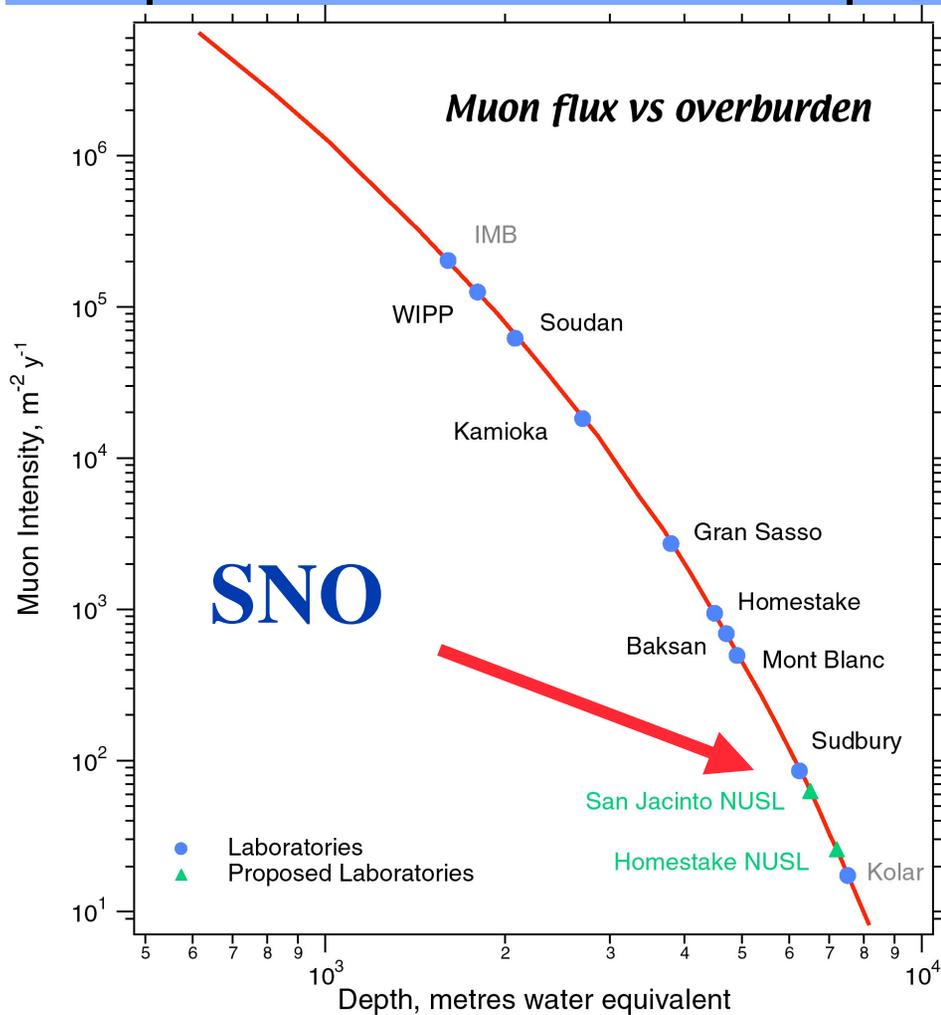
Ultra-Pure Water

Urylon Liner and  
Radon Seal

NIM A449, 127 (2000)

2092 m to Surface

“Lowest Background”  
Laboratory On Earth





# Physics Program for SNO

## • Charged Current



$$E_{\text{thresh}} = 1.4 \text{ MeV}$$

## • Elastic Scattering



## • Neutral Current



$$E_{\text{thresh}} = 2.2 \text{ MeV}$$

### • Pure D<sub>2</sub>O

CC, ES, reduced NC  
(6.25 MeV,  $\epsilon_h \sim 24\%$ )



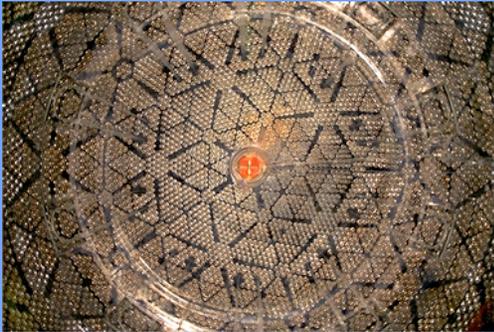
### • D<sub>2</sub>O + NaCl

CC, ES, enhanced NC  
( $\sim 8.6 \text{ MeV}$ ,  $\epsilon_h \sim 86\%$   
 $\sim 45\%$  above threshold)

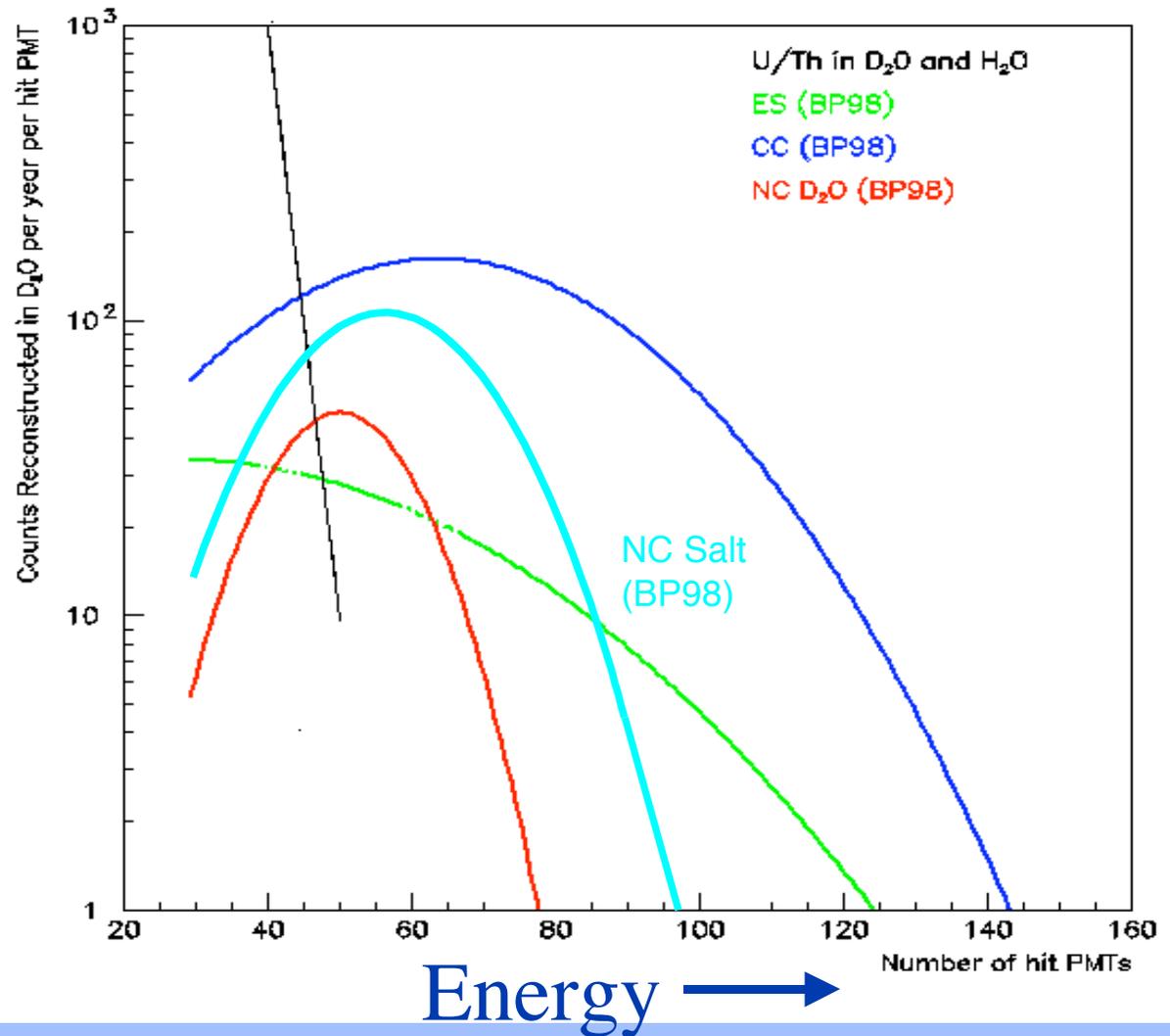


### • D<sub>2</sub>O + NCDs (<sup>3</sup>He Prop. Counters)

Concurrent CC & NC, ES  
( $\epsilon_h \sim 45\%$ )



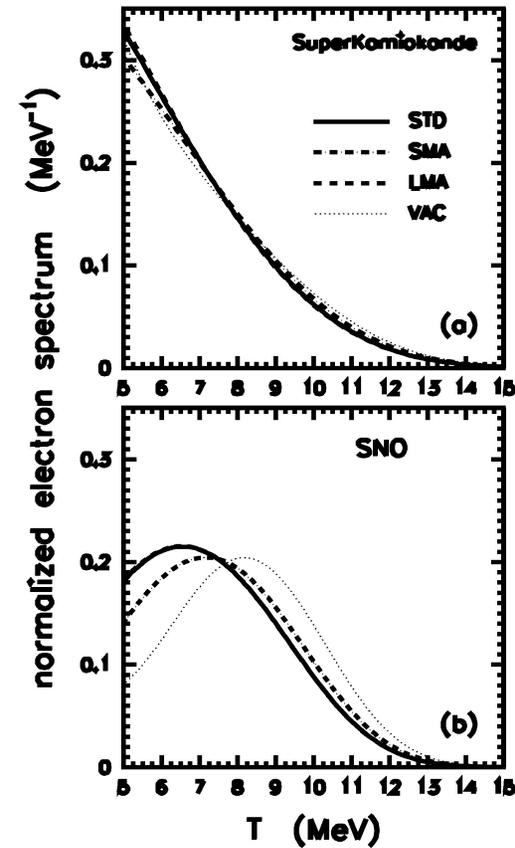
# Physics Program for SNO



# SNO's Major Signals



- Spectra distortions to ES and CC



ES

CC

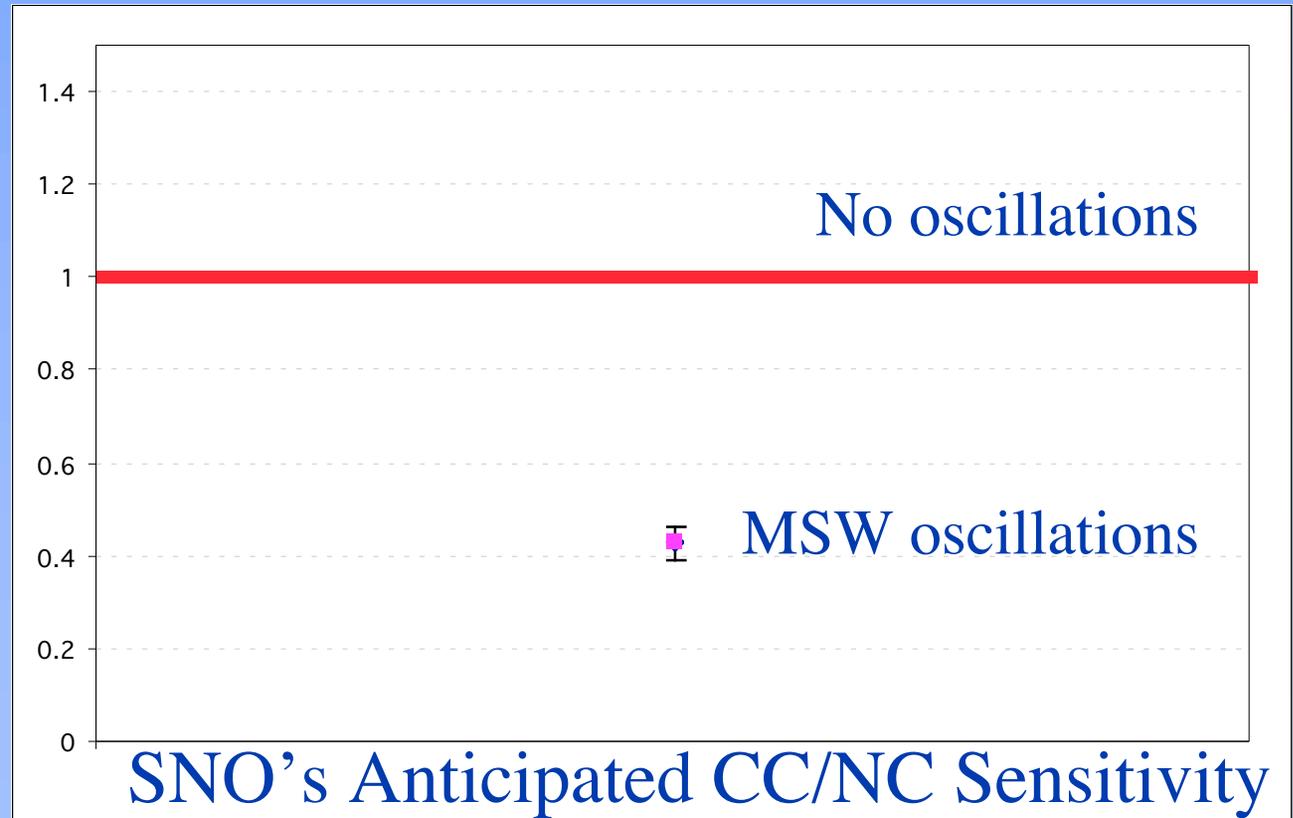
*Bahcall et al.*

# SNO's Major Signals



$$\frac{CC}{NC} = \frac{\square_e}{\square_e + \square_{\square} + \square_{\square}}$$

$$\frac{\frac{CC_{\text{exp}}}{NC_{\text{exp}}}}{\frac{CC_{\text{theory}}}{NC_{\text{theory}}}}$$

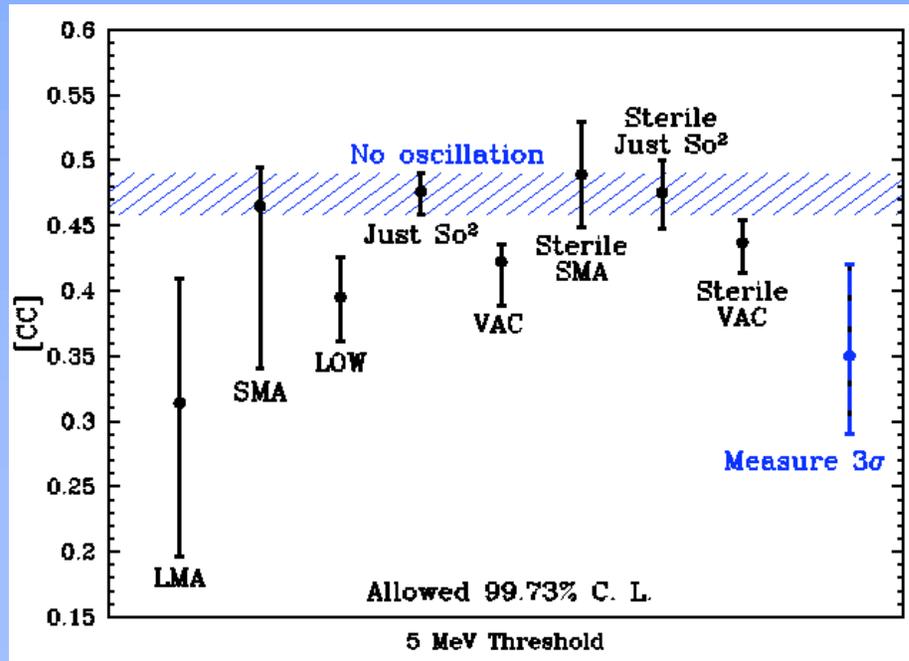


# SNO's Major Signals



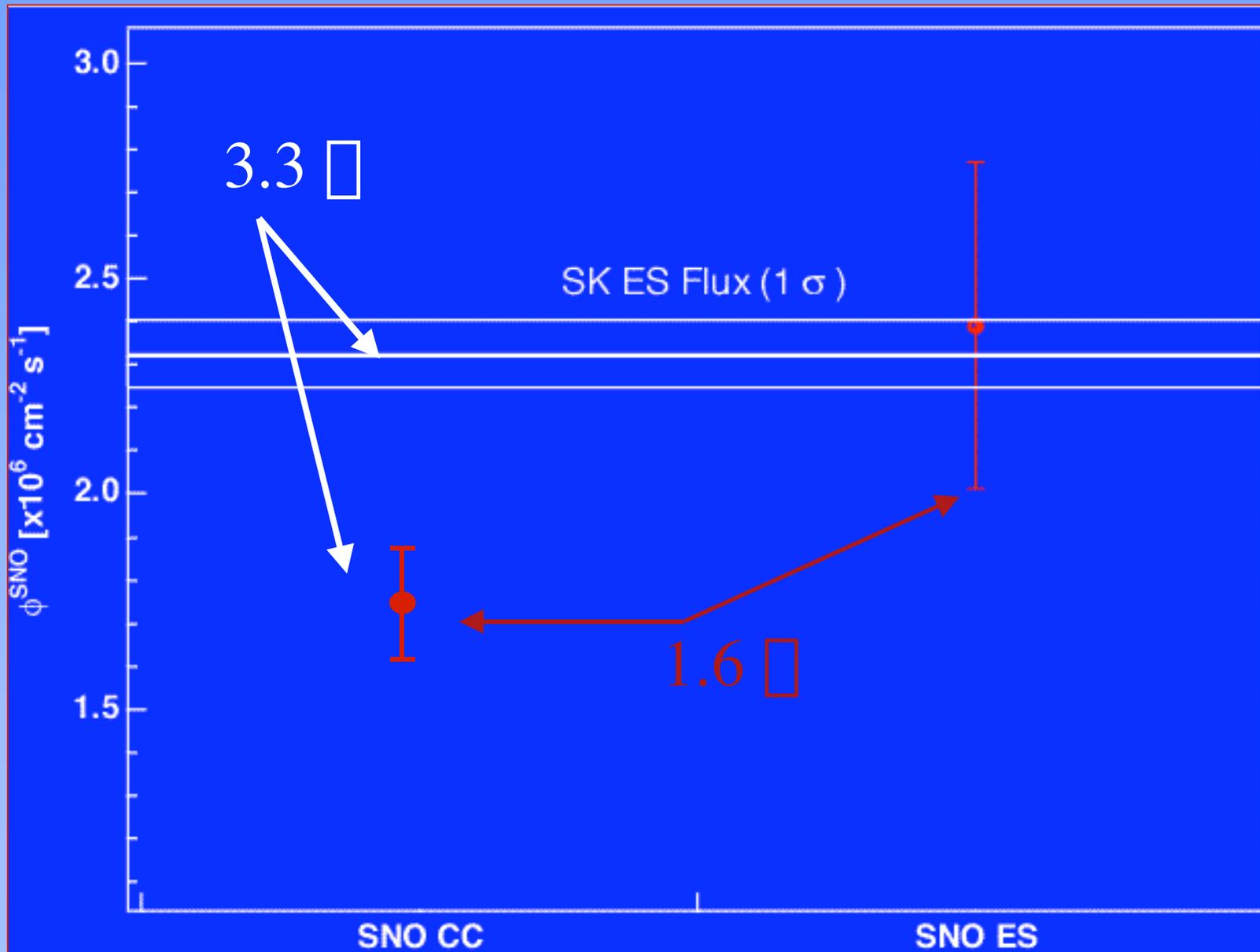
Elastic Scattering  
also *measures* other  
Neutrino Flavors

$$\frac{CC}{ES} = \frac{\langle \sigma_e \rangle}{\langle \sigma_e \rangle + 0.156(\langle \sigma_\mu \rangle + \langle \sigma_\tau \rangle)}$$



*Bahcall et al.*

# Evidence for Solar Neutrino Oscillations



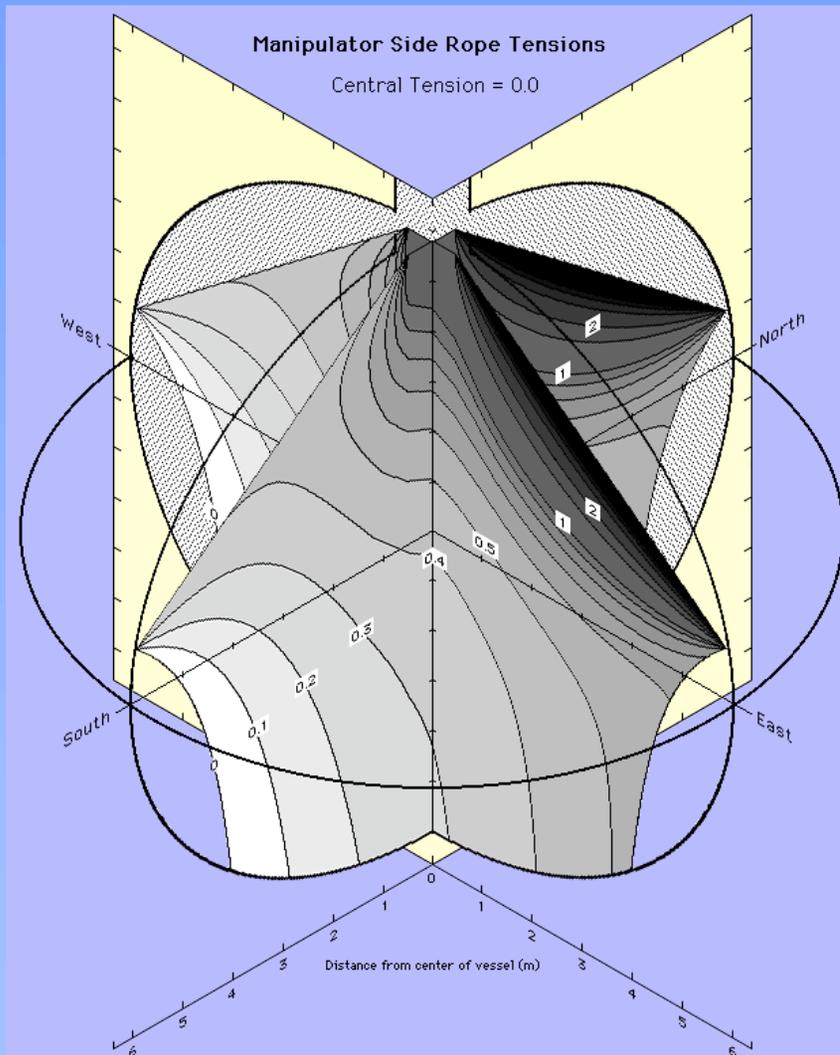
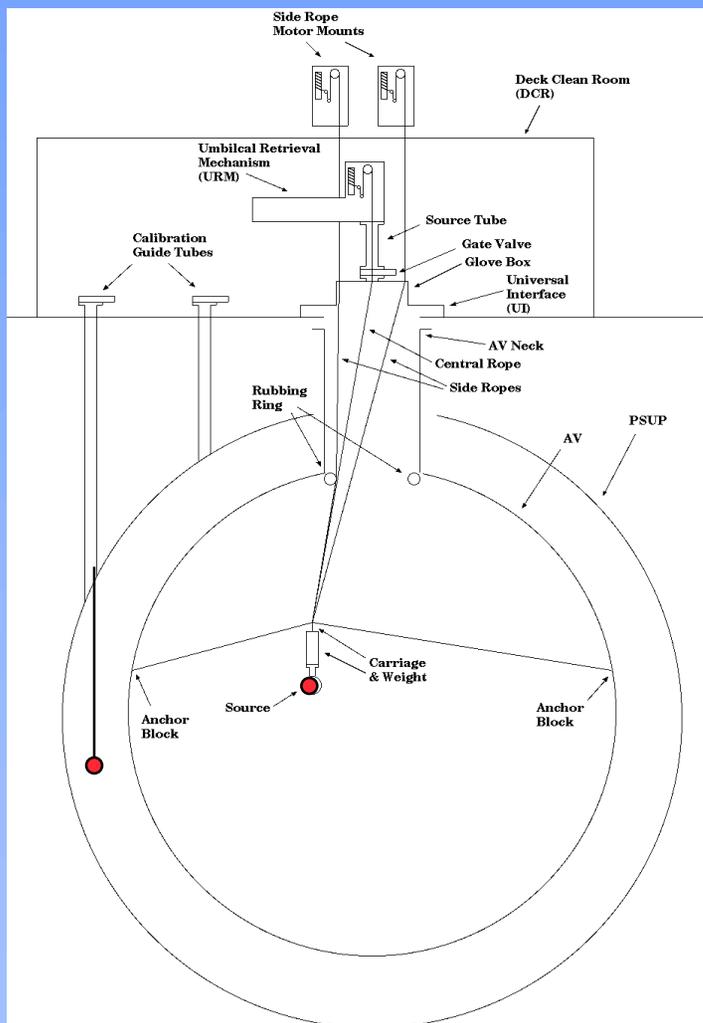
# Calibration, Detector Response and Data Analysis



- **Optical Calibration**
- **Energy Response**
- **Event Reconstruction**
  - **Position resolution**
  - **Angular resolution**
  - **Energy resolution**
- **Detector Response**
- **Monte Carlo Simulations**
- **Livetime**
- **D<sub>2</sub>O Isotopic Purity**
- **Instrumental Backgrounds**
- **Low Energy Physics Backgrounds**
  - **D<sub>2</sub>O**
  - **Acrylic Vessel**
  - **H<sub>2</sub>O & PMT**
- **High Energy  $\gamma$  rays**

Do Everything at least two ways

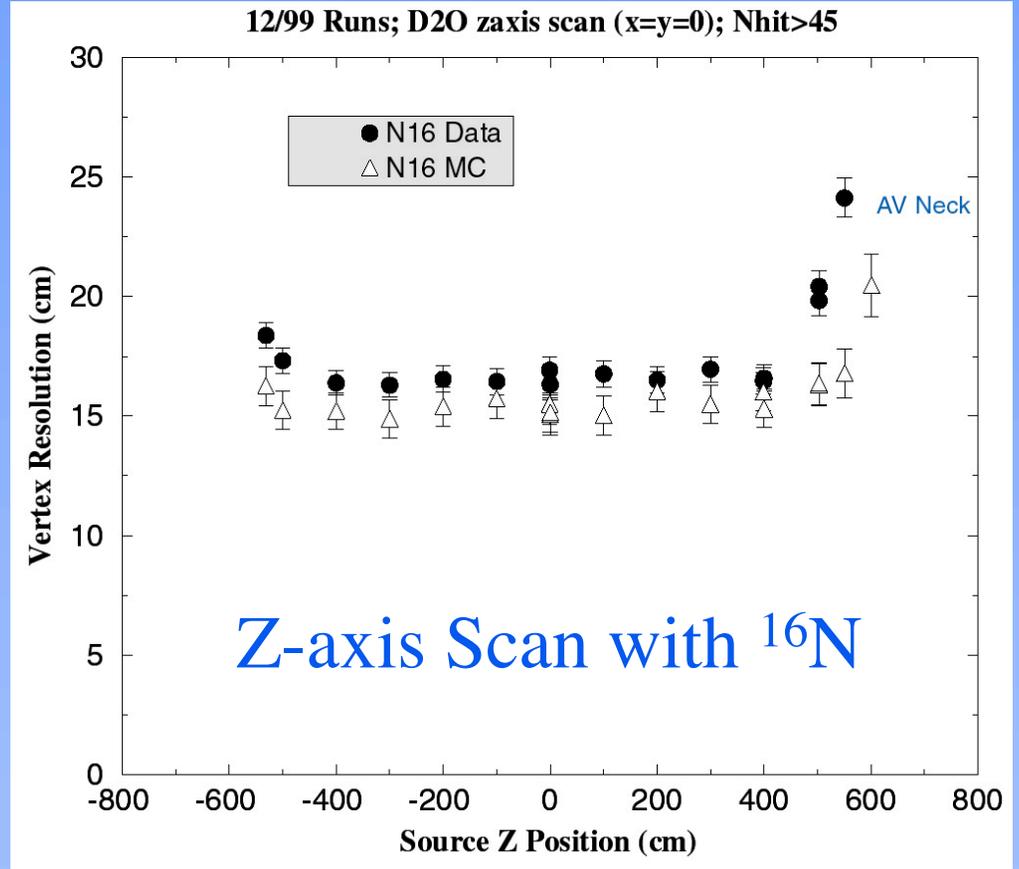
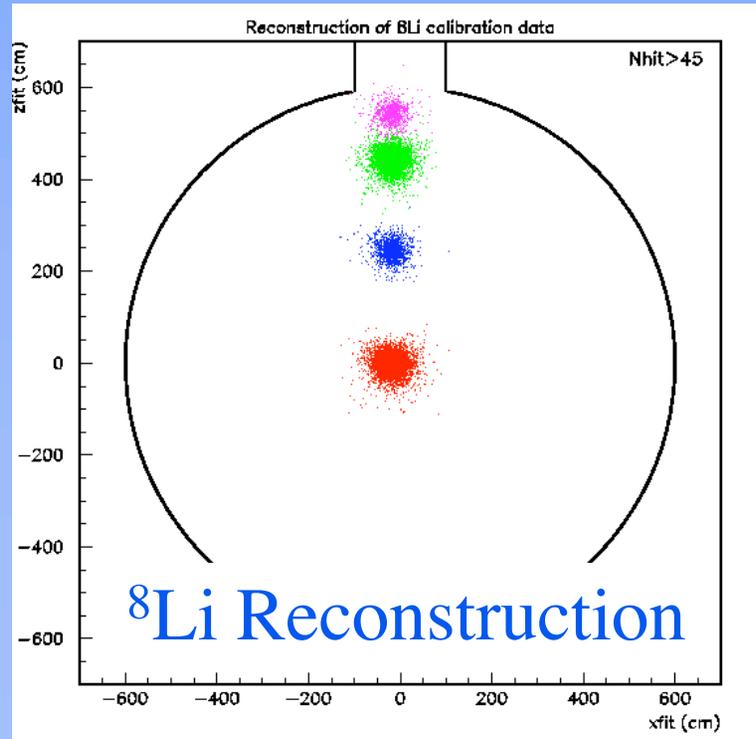
# Calibration Systems



# Event Reconstruction

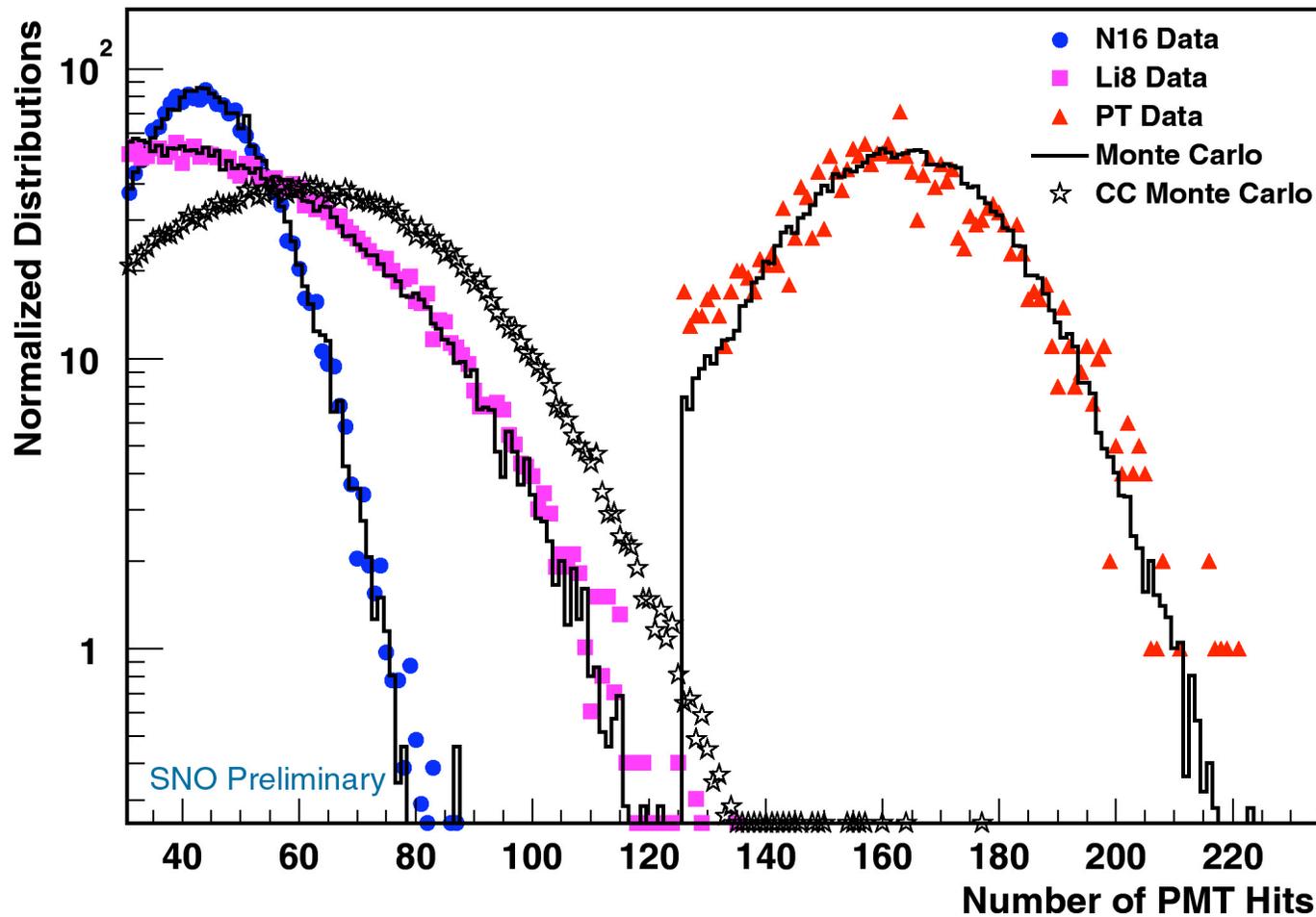


- Calibrated with:  
 $^{16}\text{N}$   $\square$ 's and  $^8\text{Li}$   $\square$ 's  
throughout  $\text{D}_2\text{O}$   
 $^{16}\text{N}$   $\square$ 's in  $\text{H}_2\text{O}$



Vertex resolution = 16 cm

# SNO's Energy Response at the Center of the Detector



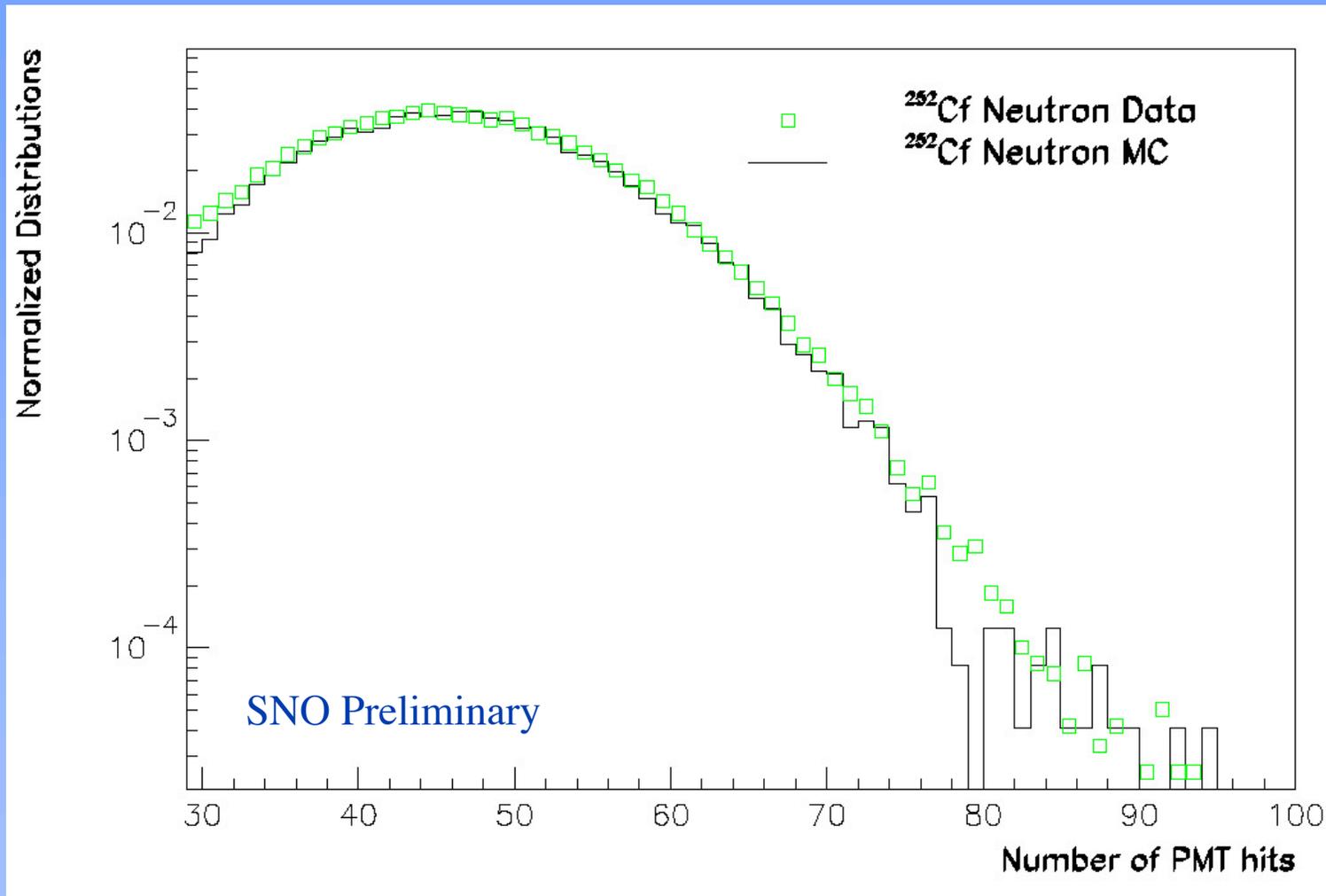
6.13 MeV □

~14 MeV □

19.8 MeV □

1% □ E/E ~ 3% □ □ □

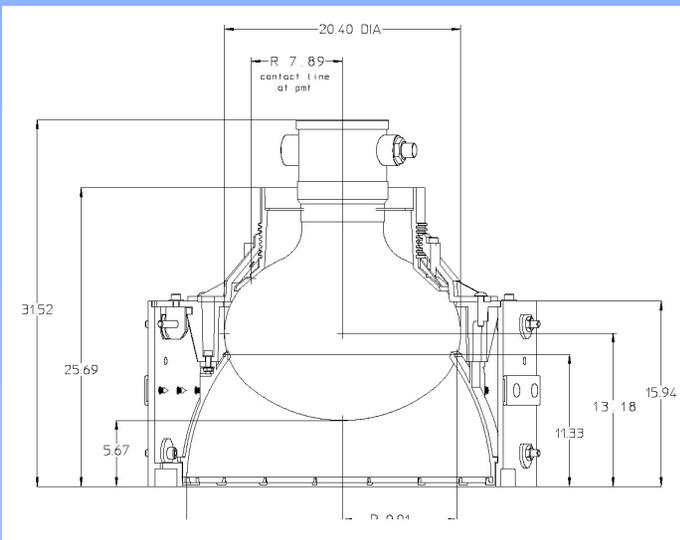
# Energy Response to Neutrons throughout the Detector



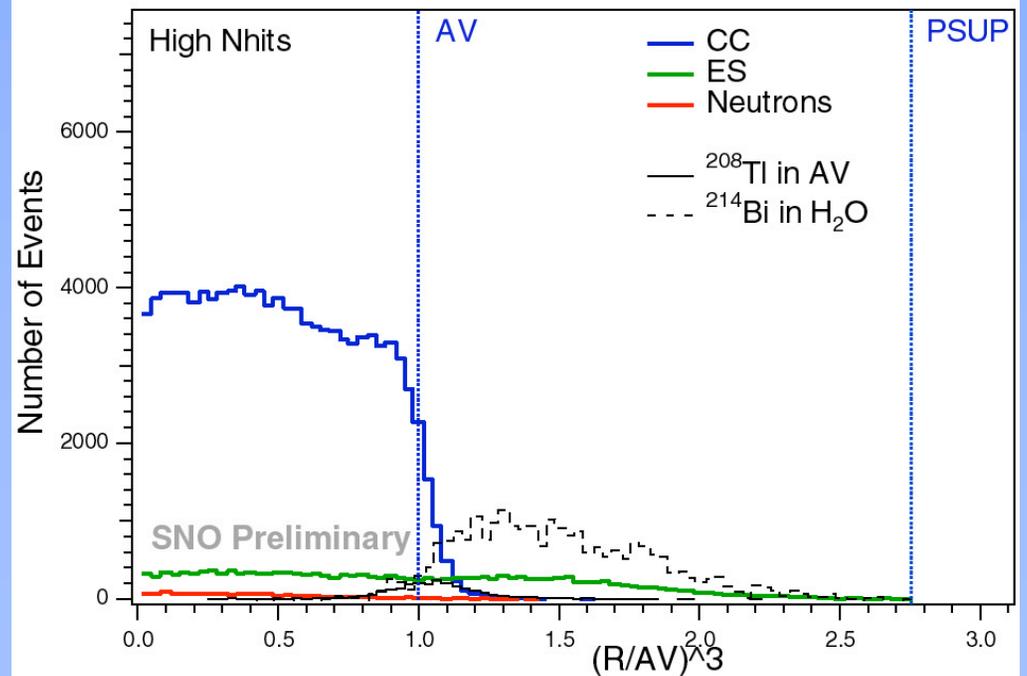
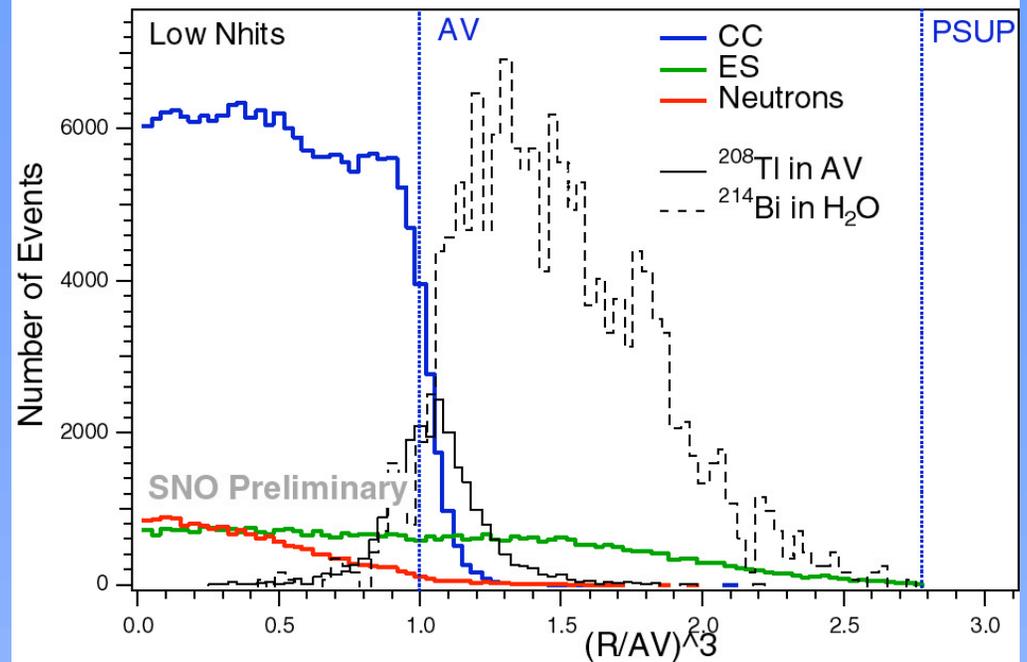
6.25 MeV capture □

# SNO's Monte Carlo Model

- Photon Generation, Transport and Detection
- Detector Geometry, Status and Conditions



x 10,000

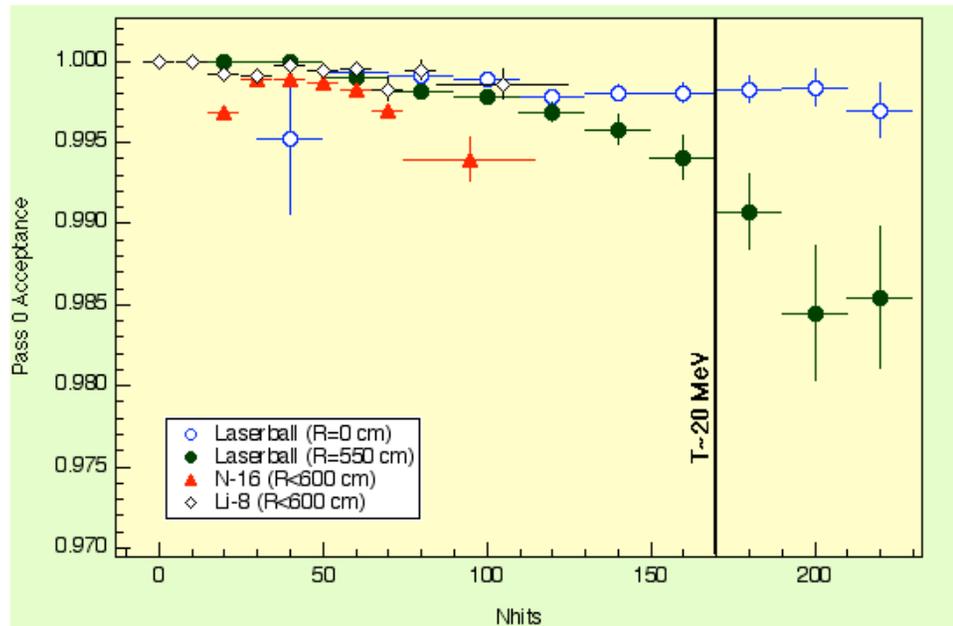


# Instrumental Background Removal



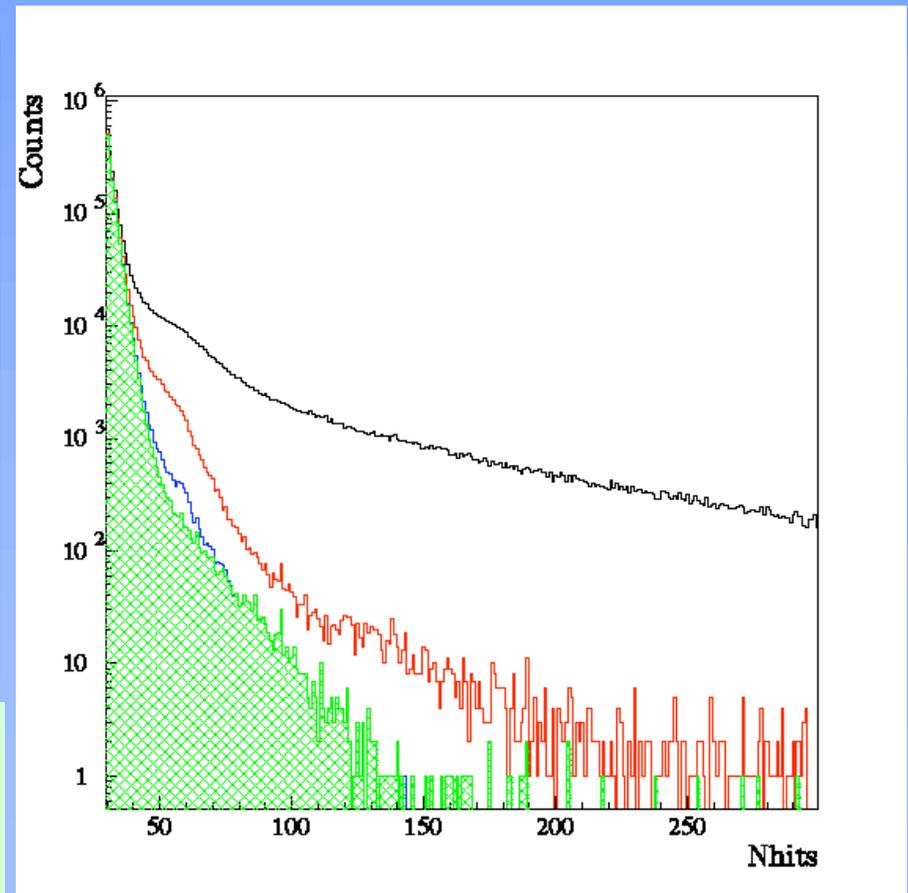
## Acceptance

- measured with  $^{16}\text{N}$  and  $^8\text{Li}$  sources
- $0.9962 \pm 0.0073 / -0.0063$



## Residual Contamination

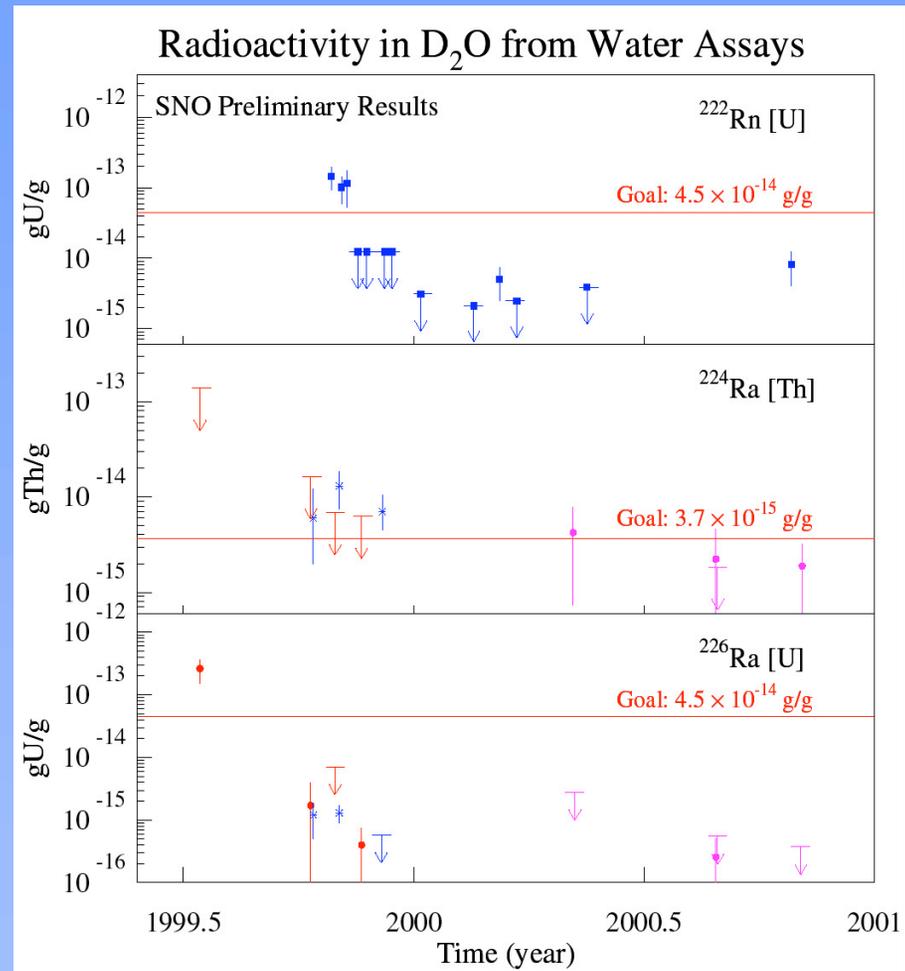
- bifurcated analysis + handscanning
- $< 0.2\%$



# D<sub>2</sub>O Backgrounds

Measured both by  
Radioassay and  
Cerenkov light at  
 $\sim 10^{-15}$  g/g!

Target Levels would produce  
1 neutron/day or 10% SSM



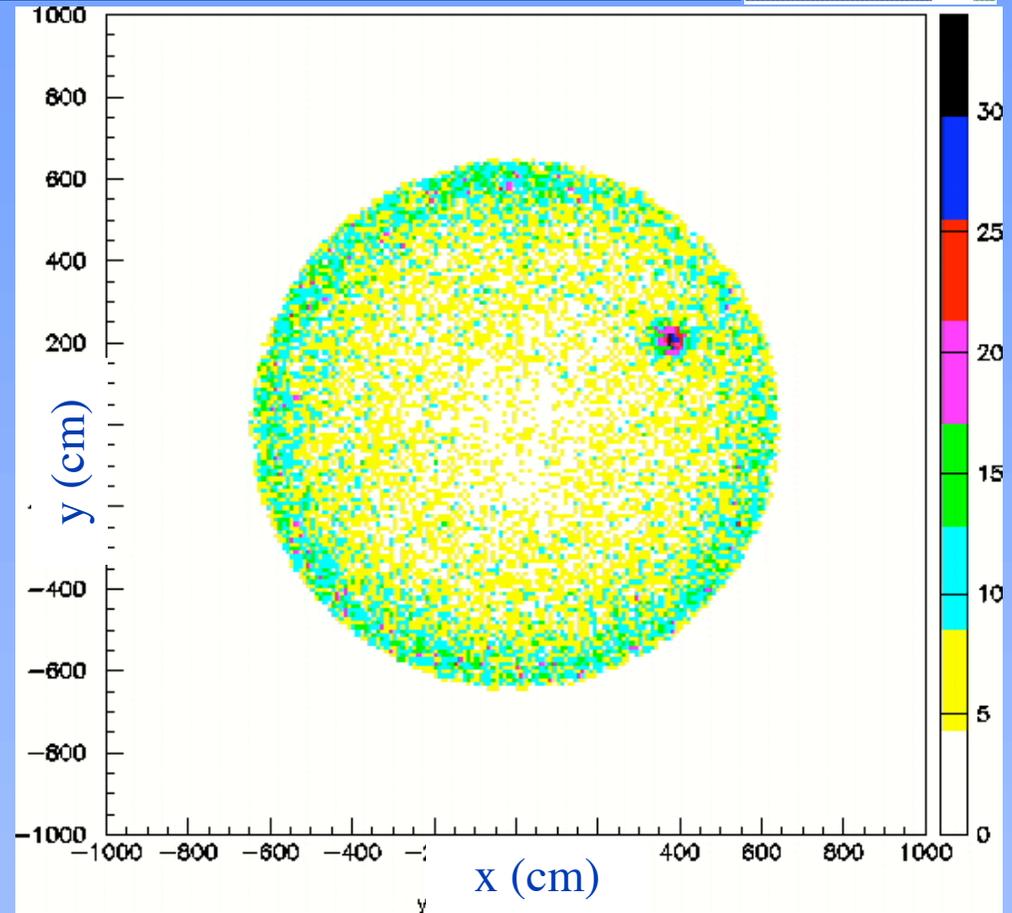
# Acrylic Vessel Backgrounds



Activities assayed are  
<10% Targets ~0.2 ppt

Or ~ 6  $\mu$ g Th or U

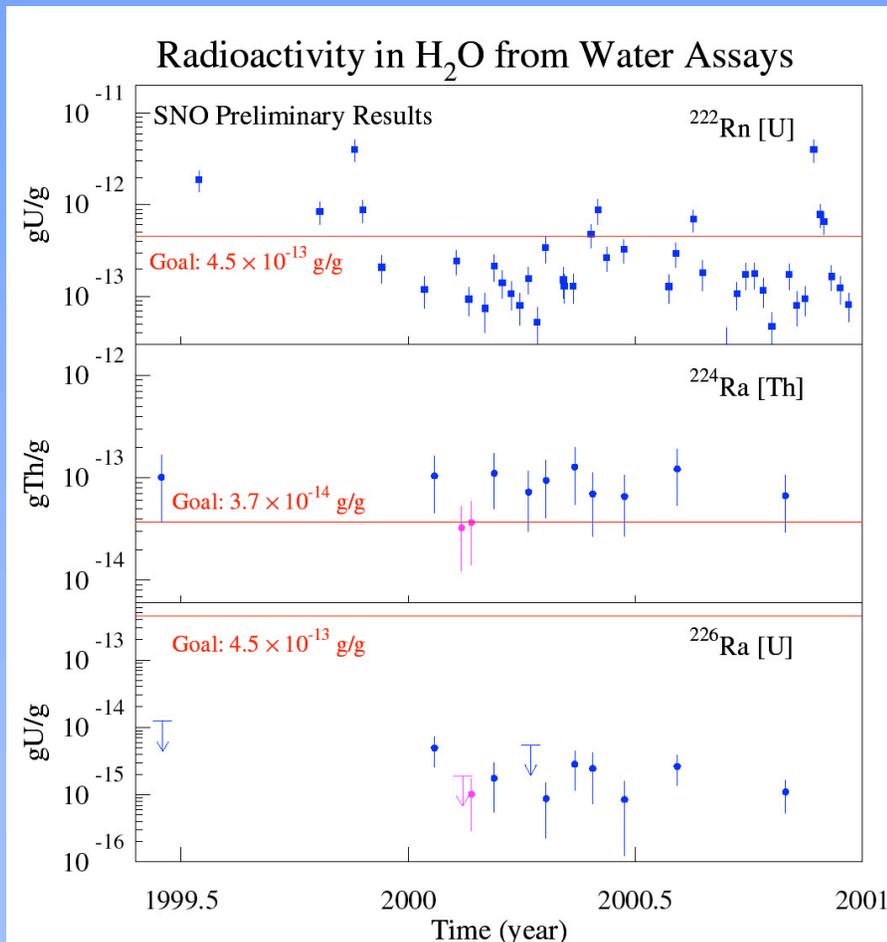
Original Target was  
60  $\mu$ g Th or U



☞ “Berkeley Blob”

$$= 9_{\pm 5}^{+20} \pm 3 \mu\text{g Th}$$

# H<sub>2</sub>O and PMT Backgrounds



Radioassay and *in situ* Cerenkov Measurements

# Signal Extraction

- “Background Free” Analysis

$R < 550\text{cm}$ ,  $T > 6.75\text{ MeV}$

Lower Systematic Errors

Fit CC, ES, Neutrons PDFs

Functions ( $R^3$ ,  $\cos\theta_{\text{sun}}$ ,  $T$ )

Extended Maximum Likelihood

- Variable Fiducial Volume (6.5 m) and Energy Thresholds

Fit CC, ES, Neutrons, Bckgrds PDFs

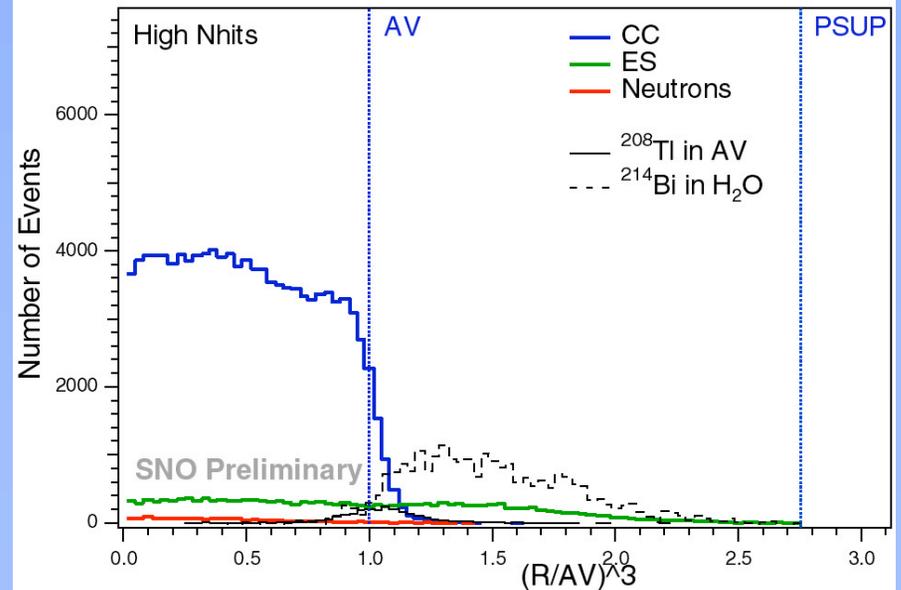
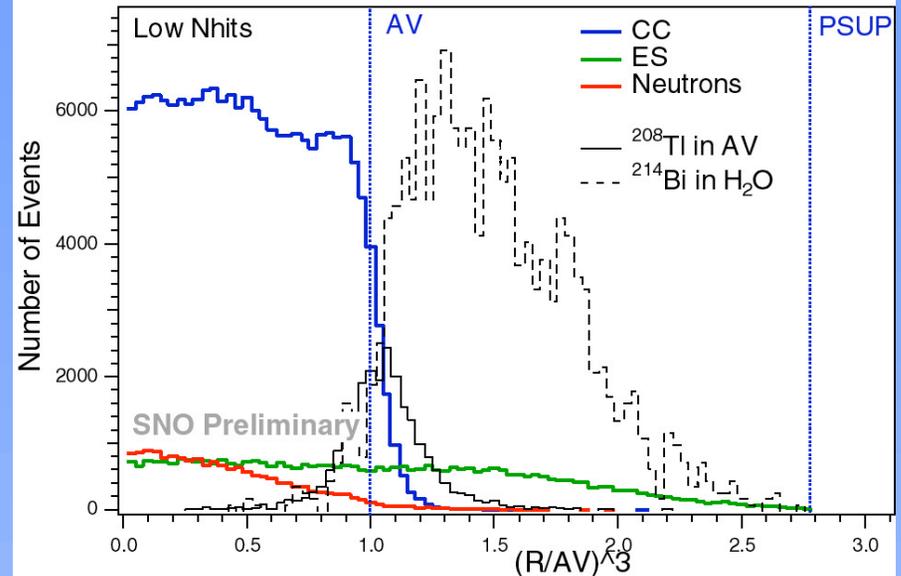
Functions ( $R^3$ ,  $\cos\theta_{\text{sun}}$ ,  $T$ )

Extended Maximum Likelihood

**Consistent Results**

## Signal and Background PDFs - Monte Carlo

- Monte-Carlo for period of data taking
- 1st and 2nd Pass Filters



# Signal Extraction Results

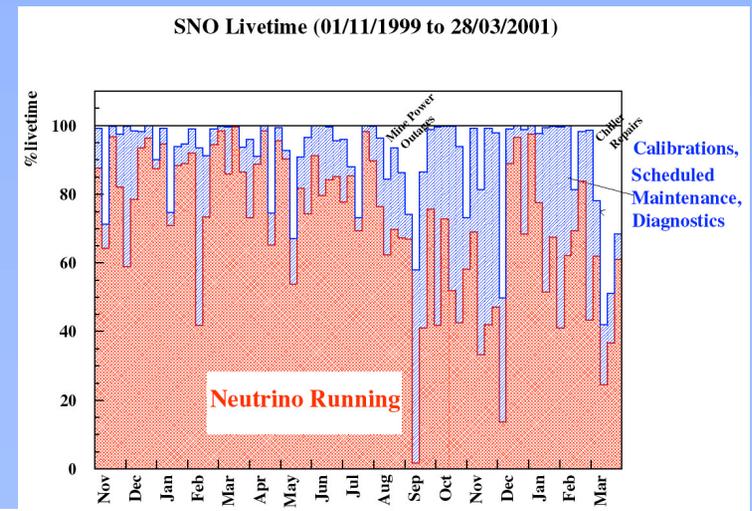


Data resolved into CC, ES, neutron components  
with Monte Carlo pdfs of  $T_{\text{eff}}$ ,  $\cos\theta_{\text{sun}}$ ,  $(R/R_{AV})^3$   
With the hypothesis of no neutrino oscillations

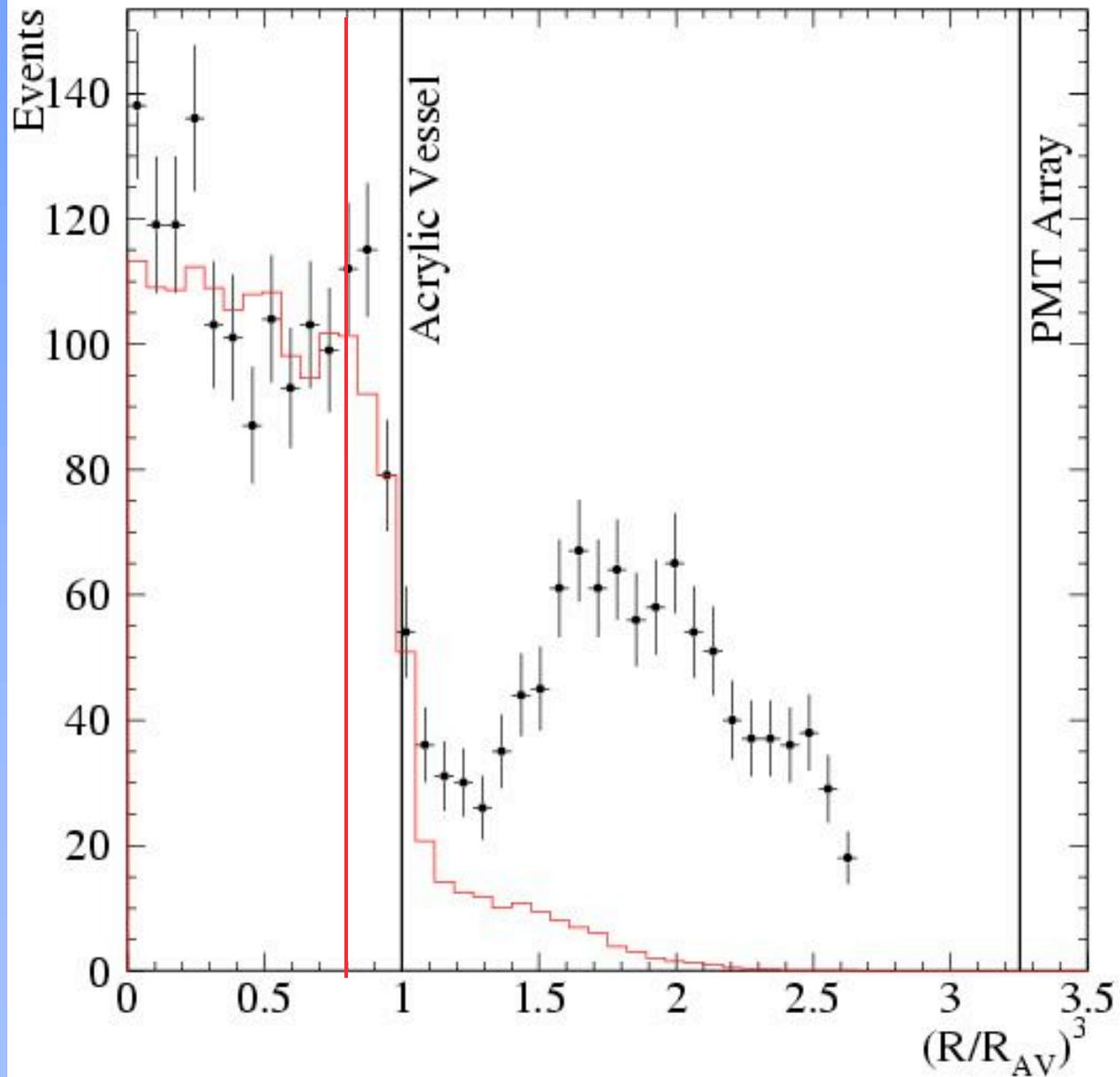
CC	$975.4 \pm 39.7$ events
ES	$106.1 \pm 15.2$ events
Tail of Neutrons	$87.5 \pm 24.7$ events

240.9 live-days  
between 11/99-1/01

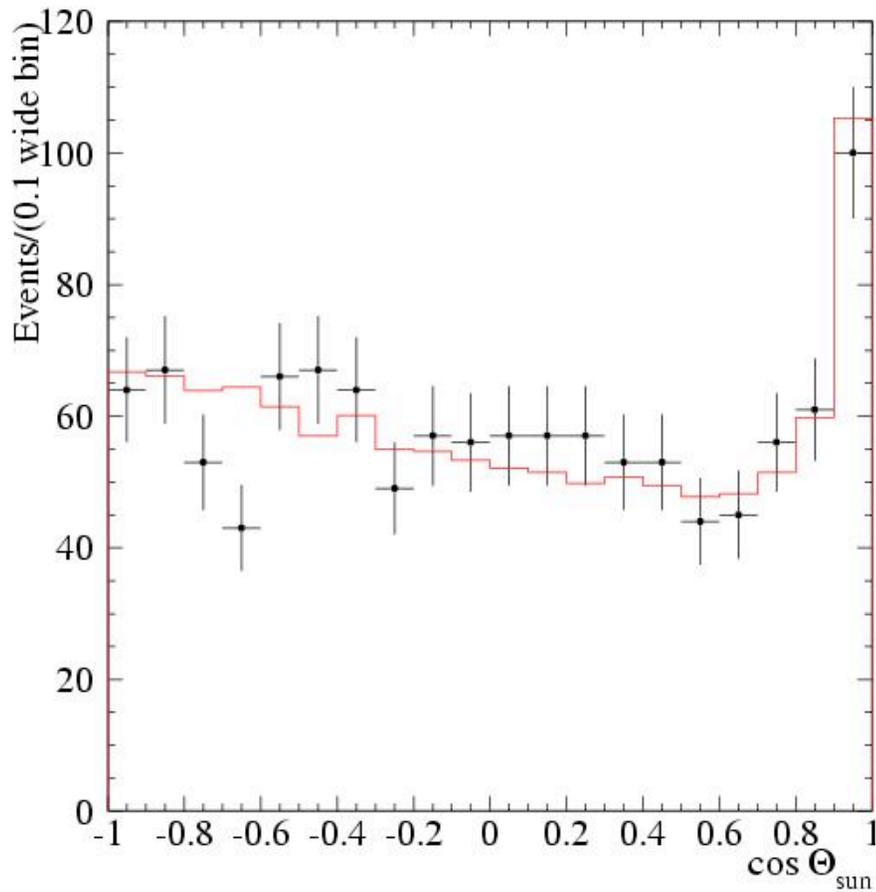
No statistically significant  
differences between Blind and  
Open data sets (75 days/166 days)



# Results



## Radial Distribution of Neutrinos

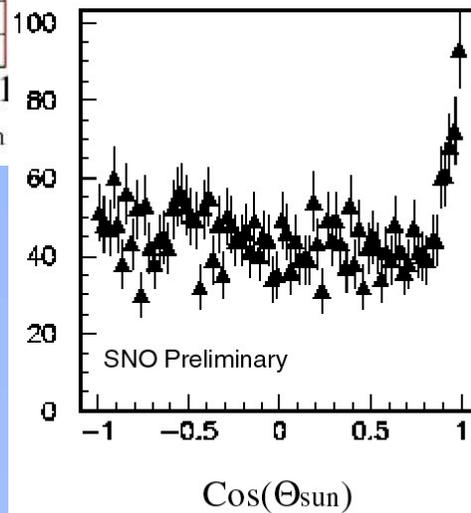


6.75 MeV threshold

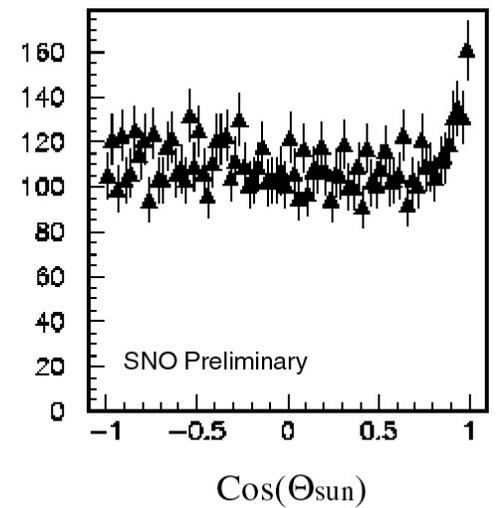
# Results

Direction of Events  
with respect to the  
SUN

~4.5 MeV Threshold

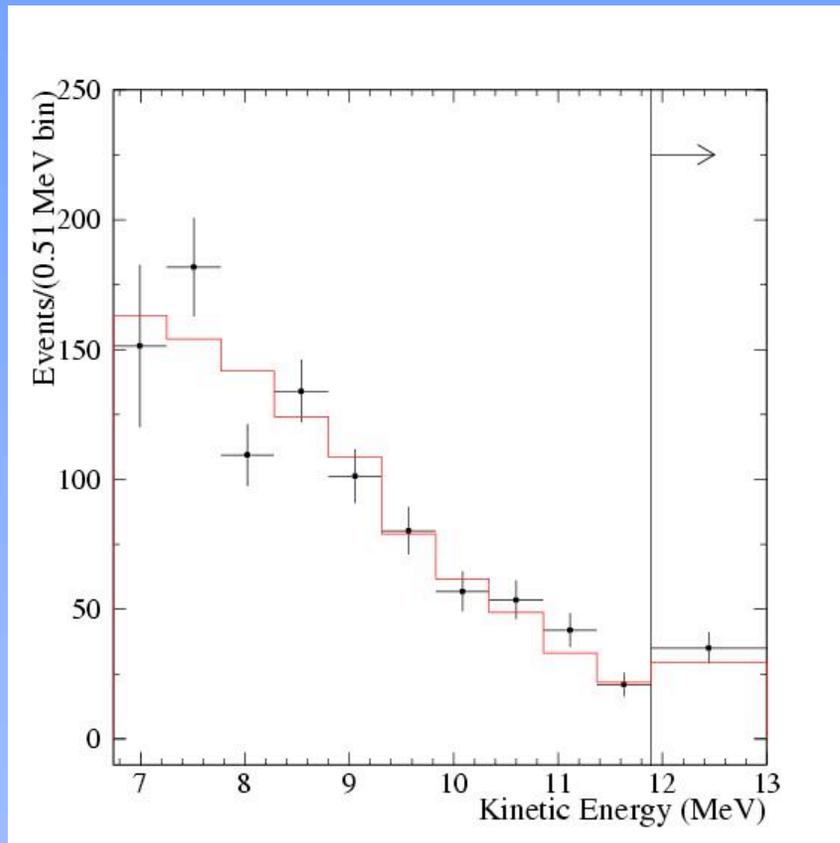


~4.0 MeV Threshold

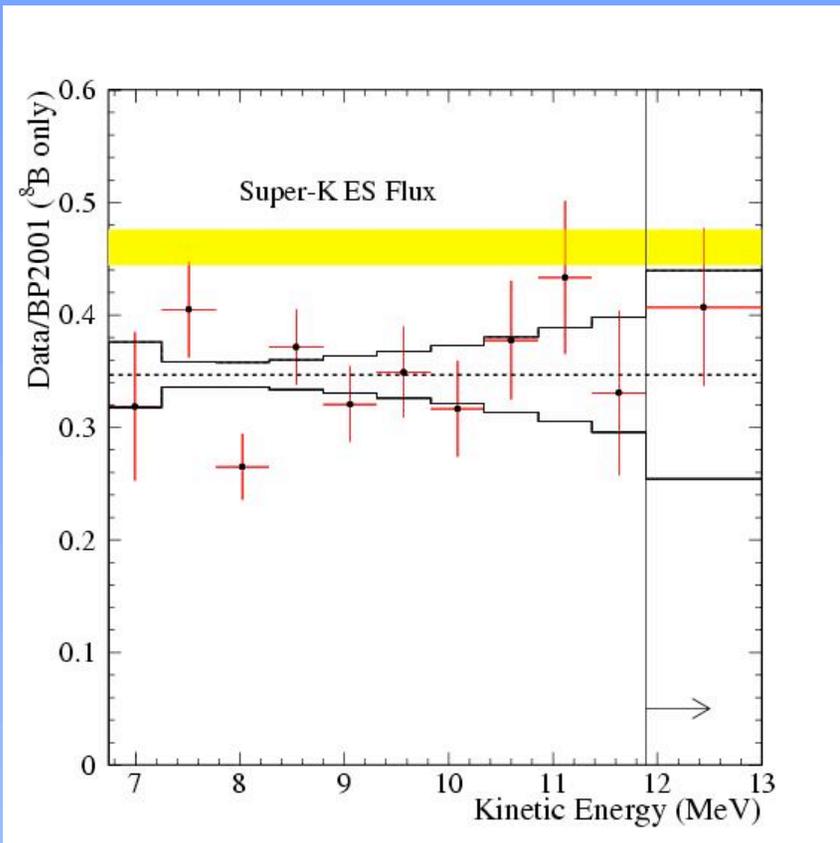


# Charged Current Energy Spectrum

## Results



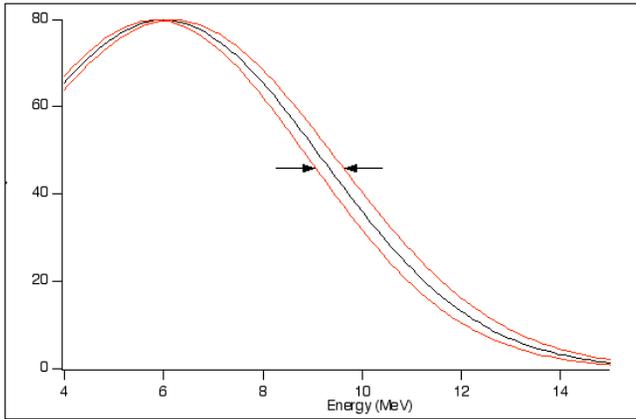
CC spectrum derived from fit *without* constraint on shape of  $^8\text{B}$  spectrum



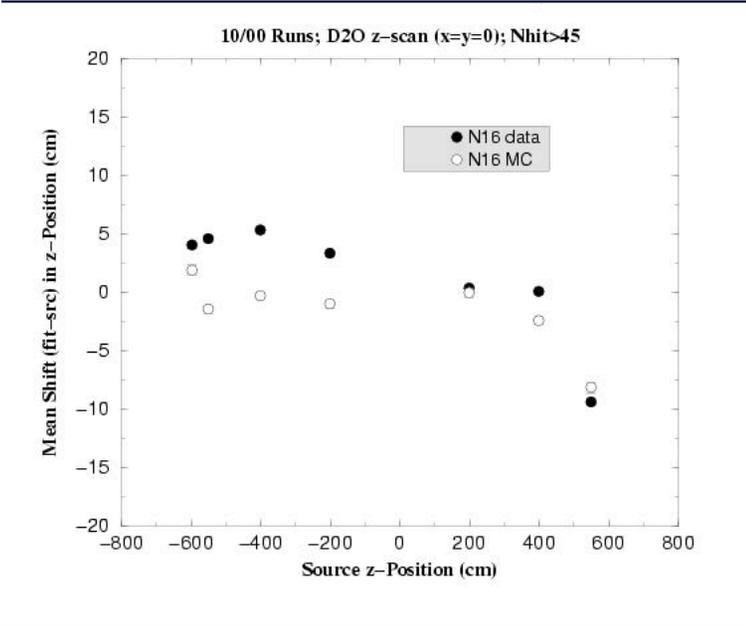
CC spectrum normalized to predicted  $^8\text{B}$  spectrum.

→ no evidence for shape distortion.

# Systematic Uncertainties



<i>Source</i>	<i>CC (%)</i>	<i>ES (%)</i>
<b>Energy scale</b>	+6.1, -5.2	+5.4, -3.5
<b>Energy resolution</b>	±0.5	±0.3
<b>Energy scale non-linearity</b>	±0.5	±0.4
<b>Vertex accuracy</b>	±3.1	±3.3
<b>Vertex resolution</b>	±0.7	±0.4
<b>Angular resolution</b>	±0.5	±2.2
<b>High energy <math>\square</math></b>	+0, -0.8	+0, -1.9
<b>Low energy background</b>	0.0 -0.2	0.0 -0.2
<b>Instrumental background</b>	+0.0, -0.2	+0.0, -0.5
<b>Trigger efficiency</b>	0.0	0.0
<b>Live Time</b>	±0.1	±0.1
<b>Cut acceptance</b>	+0.7, -0.6	+0.7, -0.6
<b>Earth orbit eccentricity</b>	±0.2	±0.2
<b><math>^{17}\text{O}, ^{18}\text{O}</math></b>	0.0	0.0
<i>Experimental uncertainty</i>	<b>+7.0, -6.2</b>	<b>+6.8, -5.7</b>
<i>Cross section</i>	<b>3.0</b>	<b>3.0</b>
<i>Solar model</i>	<b>+20, -16</b>	<b>+20, -16</b>



N(HE  $\square$  events): <10 events (68% CL)



# Results from SNO

(Fluxes in  $10^6/\text{cm}^2/\text{s}$ )

$$\Phi_{SNO}^{CC}(\nu_e) = 1.75_{-0.07}^{+0.07} (\text{stat.})_{-0.11}^{+0.12} (\text{sys.})_{-0.05}^{+0.05} (\text{theor.})$$

$$\Phi_{SNO}^{ES}(\nu_x) = 2.39_{-0.34}^{+0.34} (\text{stat.})_{-0.14}^{+0.16} (\text{sys.})$$

$$R_{SNO}^{CC}(\nu_e) = 0.347_{-0.029}^{+0.029}$$

CC is low  
compared to  
ES

$$\Phi_{SK}^{ES}(\nu_x) = 2.32_{-0.03}^{+0.03} (\text{stat.})_{-0.07}^{+0.08} (\text{sys.})$$

\*S. Fukuda, et al., hep-ex/0103032

# CC and ES Results

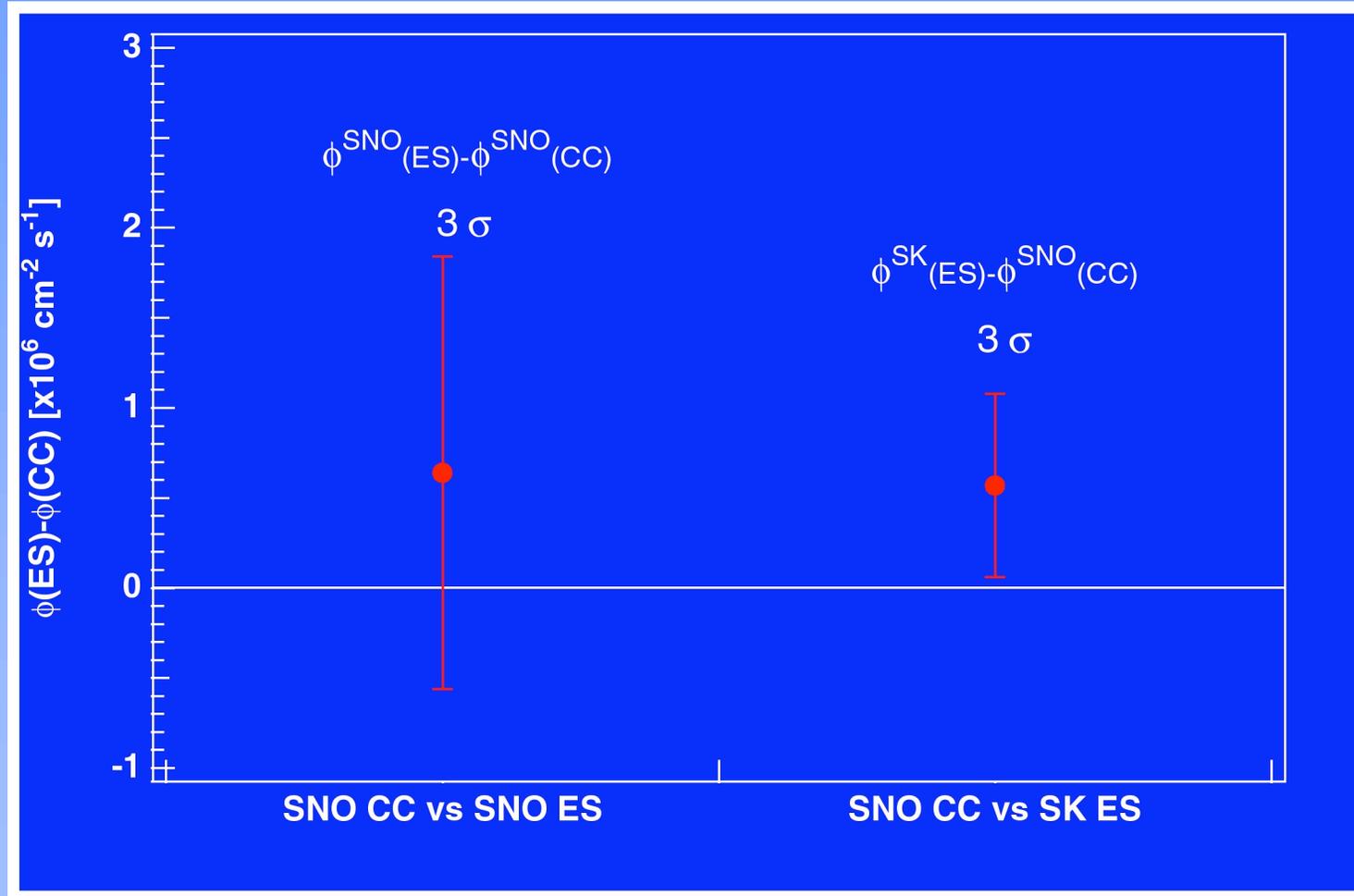


CC at SNO vs ES at SK

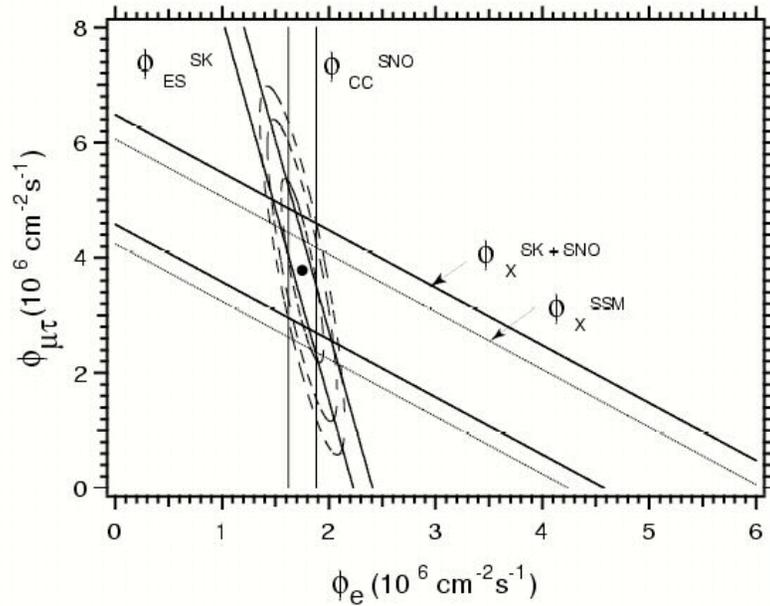
$$\langle \sigma \rangle_{SK}^{ES} - \langle \sigma \rangle_{SNO}^{CC} = 0.57 \pm 0.17 \quad \langle \sigma \rangle \text{ 3.3 effect !!}$$

> 99.9% cl

# CC and ES Results



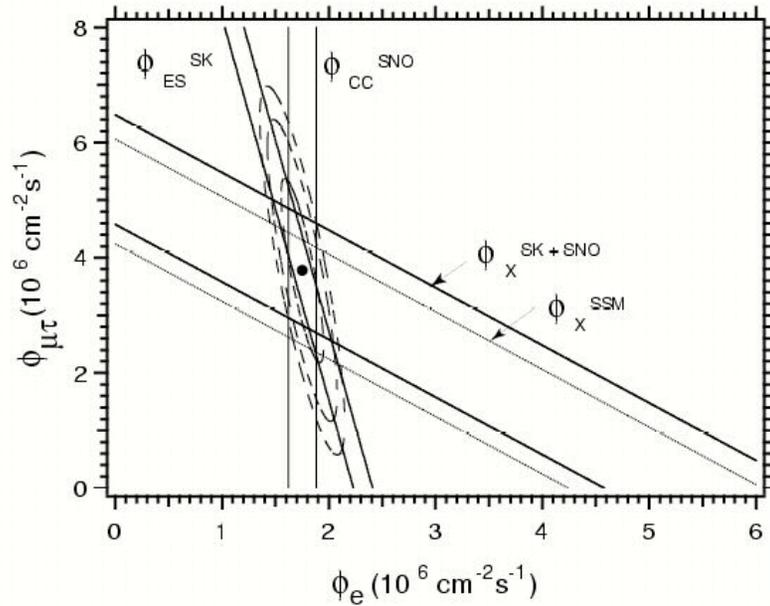
# SNO + Super-K Results



These data are evidence with  $>99.96\%$  confidence that  $\nu_e$  produced in the sun are transformed into  $\nu_\mu$  and or  $\nu_\tau$  by neutrino oscillations

Flavor Changing Appearance!

# Solar Flux Results



$$\phi(\phi_{\mu}, \phi_e) = 3.69 \pm 1.13 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi(\phi_e) = 5.44 \pm 0.99 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi(\phi_e) = 5.05 +1.01/-0.81 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

Standard Models

# SNO + Super-K



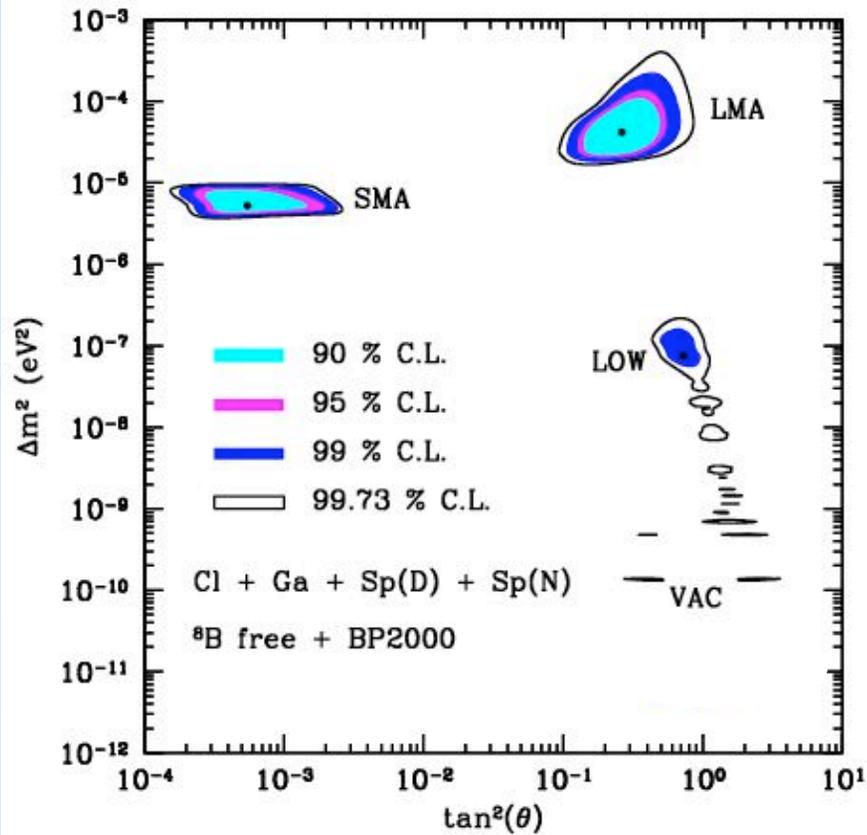
- “Just-So<sup>2</sup>” ( $\Delta m^2 = 6 \times 10^{-12} \text{ eV}^2$ ) excluded 3.3  $\sigma$
- “SMA sterile” excluded to a similar level of confidence
- “Vacuum Sterile” requires more effort:

If oscillation with maximal mixing to a sterile neutrino is occurring the SNO CC-derived  $^8\text{B}$  flux above a threshold of 6.75 MeV will be essentially identical with the integrated Super-Kamiokande ES-derived  $^8\text{B}$  flux above a threshold of 8.5 MeV .

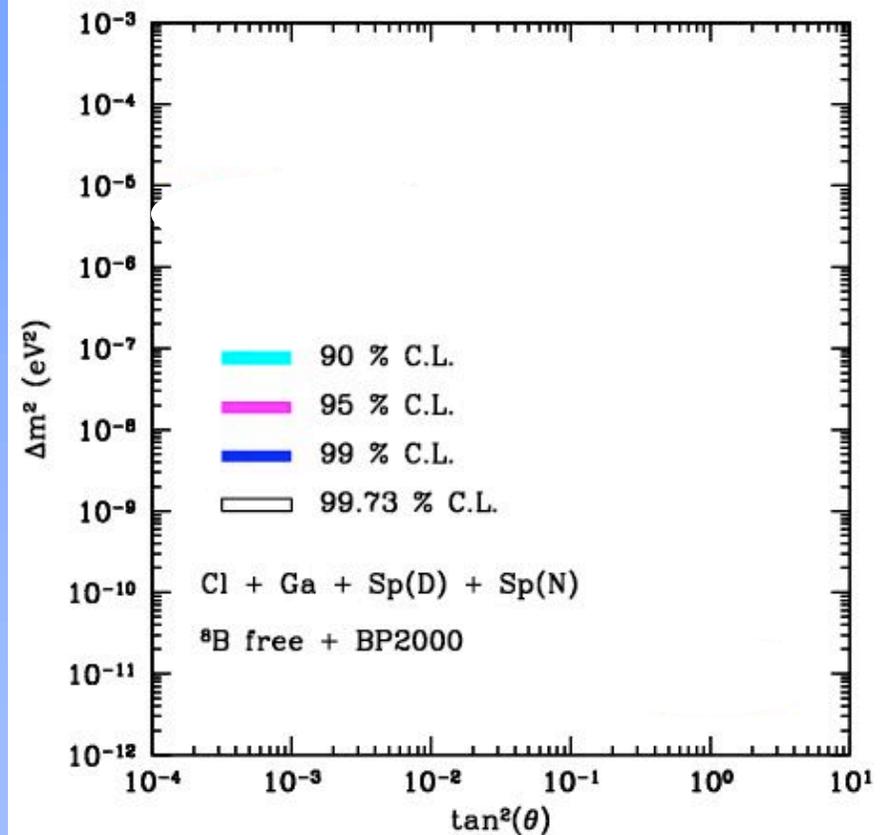
↪ Correcting the ES threshold the flux difference yields a flux difference of  $0.53 \pm 0.17$  or

3.1  $\sigma$  exclusion of maximal mixing to sterile neutrinos

# SNO + Ga + Cl + SuperK



To Active Neutrinos

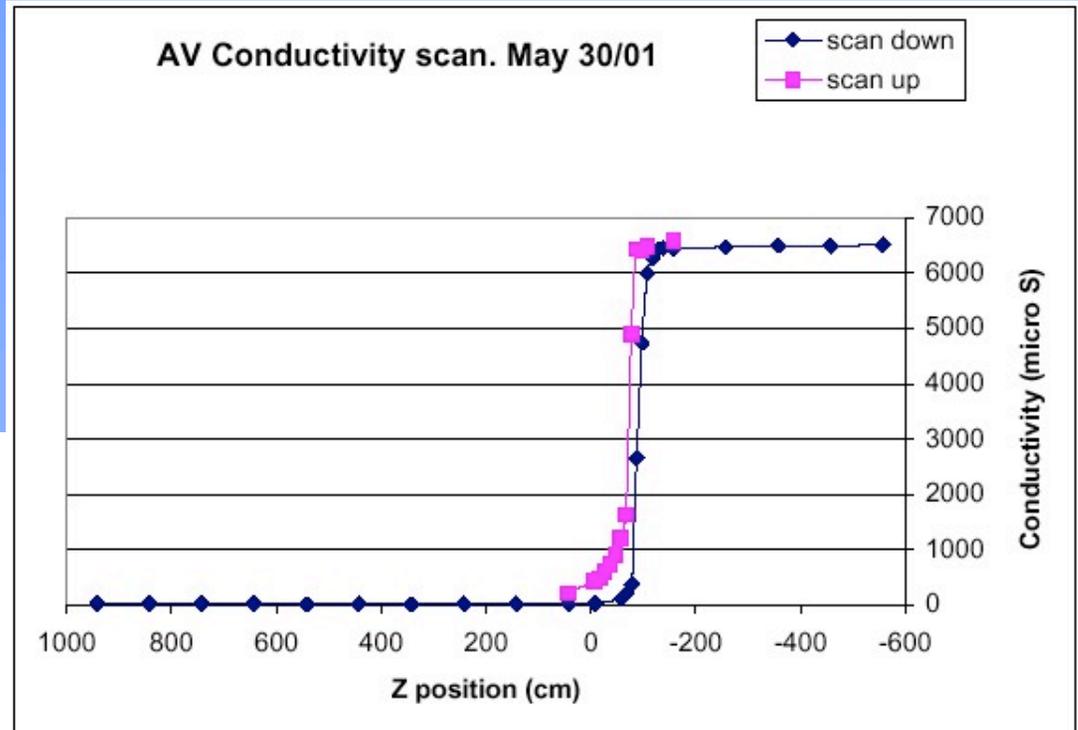
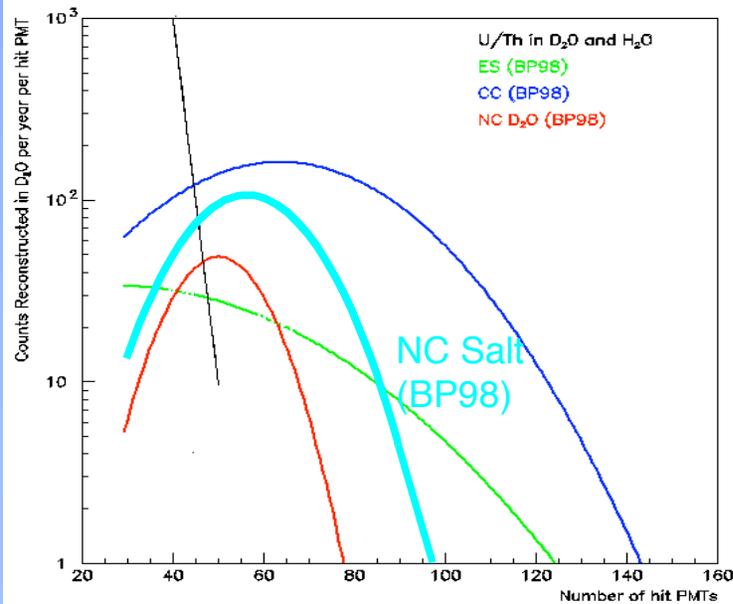


To Sterile Neutrinos

# SNO's Immediate Future



## Salt Injected 28 May 2001



Conductivity Measurements Taken  
During Salt Addition

# SNO's Immediate Analysis Future



## Muons

Lower analysis threshold for NC and Shape

Analysis from pure D<sub>2</sub>O data

Day/Night analysis

hep-neutrino analysis

Seasonal and other Exotica

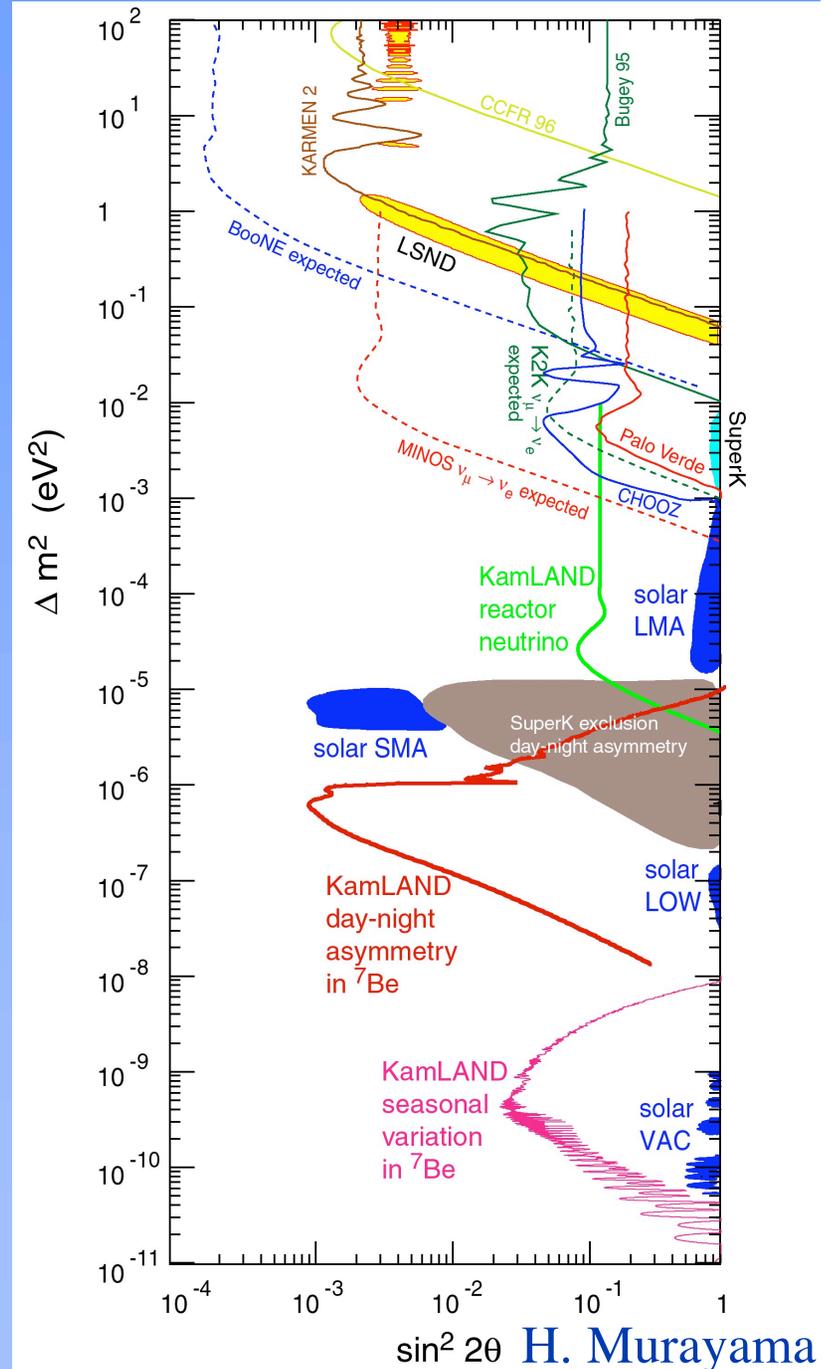
Calibration of D<sub>2</sub>O + NaCl data

**Don't touch that dial...**

# The Bigger Picture

- 1) First direct evidence that solar neutrinos oscillate into active species:  
 $\nu_e \longrightarrow \nu_\mu$  or  $\nu_\tau$  at >99.96% confidence
- 2) Total solar flux agrees with standard models
  - 1) What is the absolute  $\nu_e$  mass scale?
  - 2) Determine solar oscillation parameters: SNO, KamLAND, Borexino

Therefore,
- 3) Excellent evidence for atmospheric neutrino oscillations:  $\nu_\mu \longrightarrow \nu_\tau$
- 4) What are the mixing parameters, especially  $\theta_{13}$ ?
- 5) Maximal mixing to sterile is ruled out with better than 99.87% confidence
- 4) Is the neutrino its own antiparticle?
- 5) What is the nature of CP violation in the neutrino sector?
- 6) Extended Standard Model to embrace neutrino mass



# Implications for Cosmology



$M(\nu_e) < 2.8 \text{ eV}$  (Bonn *et al.* - Mainz)

$\Delta m$  (atmospheric  $\nu$ )  $\sim 50 \text{ meV}$  (Toshito *et al.* Super-K)

$\sim 10^{-5} \leq \Delta m$  (solar  $\nu$ )  $\leq \sim 30 \text{ meV}$  (SNO + Apollonio *et al.*)

$$.05 \leq \Delta \nu_{\mu\tau} \leq 8.4 \text{ eV}$$

$$0.001 \leq \Delta \nu_{\tau e} \leq 0.18$$



# SNO Collaboration

J. Boger, R. L Hahn, J.K. Rowley, M. Yeh  
**Brookhaven National Laboratory**

▣ Blevis, F. Dalnoki-Veress, W. Davidson, J. Farine, D.R. Grant, C. K. Hargrove, I. Levine, K. McFarlane, C. Mifflin, T. Noble, V.M. Novikov, M. O'Neill, M. Shatkay, D. Sinclair, N. Starinsky

**Carleton University**

□  
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